Neural Language Models

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Slides are adopted from the Stanford course 'NLP with DL' by C. Manning

Grok 3 and X Premium+ Update !!

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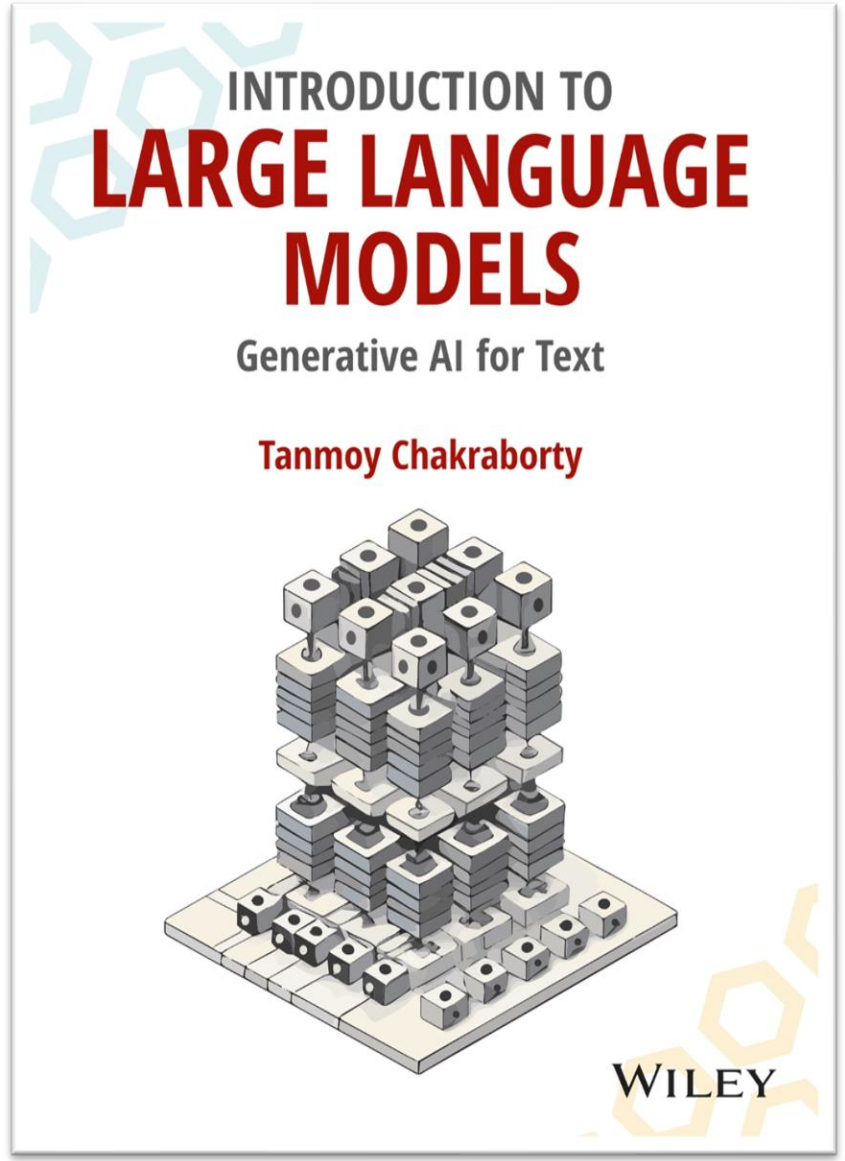
Grok 3

Grok 3 is xAI's latest AI chatbot, offering advanced capabilities, trained on **200,000 GPUs**, and available to **X Premium+** subscribers.
X premium+: Enhanced subscription plan with features like post editing, ad-free experience, and monetization tools.

Advanced AI Capabilities: Access to Grok 3 for enhanced AI interactions.
Enhanced User Experience: Ad-free browsing, post editing, and longer content sharing.
Monetization Opportunities: Tools like Get Paid to Post and Creator Subscriptions.

- Chapter 05. Neural Language Models
  - 5.1 Convolutional Neural Networks
  - 5.2 Recurrent Neural Networks
  - 5.3 Sequence-to-Sequence Models
  - 5.4 Attention Mechanisms
  - 5.5 Limitations of Neural Language Models
  - 5.6 Summary

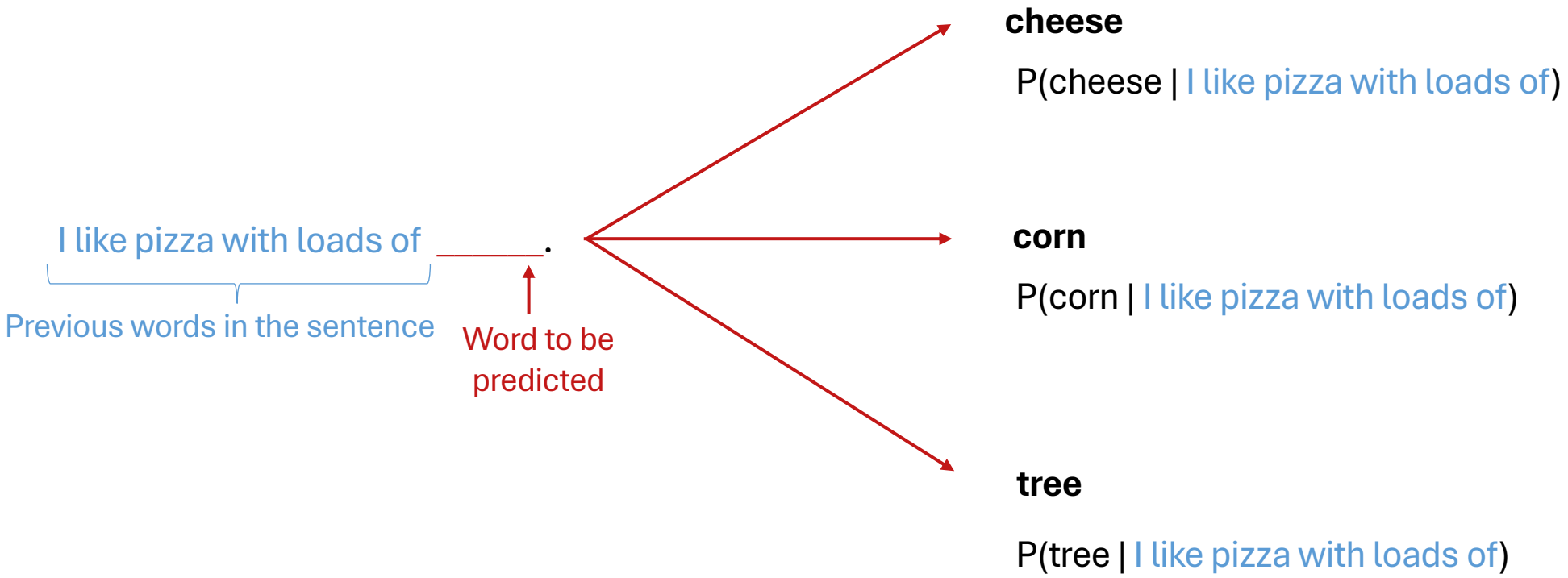


Pre-requisite for this chapter

- Loss function, backpropagation
- CNN
- RNN (LSTM/GRU)

Recall: Language Modeling

- **Language Modeling** is the task of predicting what word comes next



Recall: Language Modeling

- You can also think of a Language Model as a system that assigns a probability to a piece of text.

- For example, if we have some text $x^{(1)}, \ldots, x^{(T)}$, then the probability of this text (according to the Language Model) is:

$$P(\boldsymbol{x}^{(1)}, \ldots, \boldsymbol{x}^{(T)}) = P(\boldsymbol{x}^{(1)}) \times P(\boldsymbol{x}^{(2)} | \boldsymbol{x}^{(1)}) \times \cdots \times P(\boldsymbol{x}^{(T)} | \boldsymbol{x}^{(T-1)}, \ldots, \boldsymbol{x}^{(1)})$$

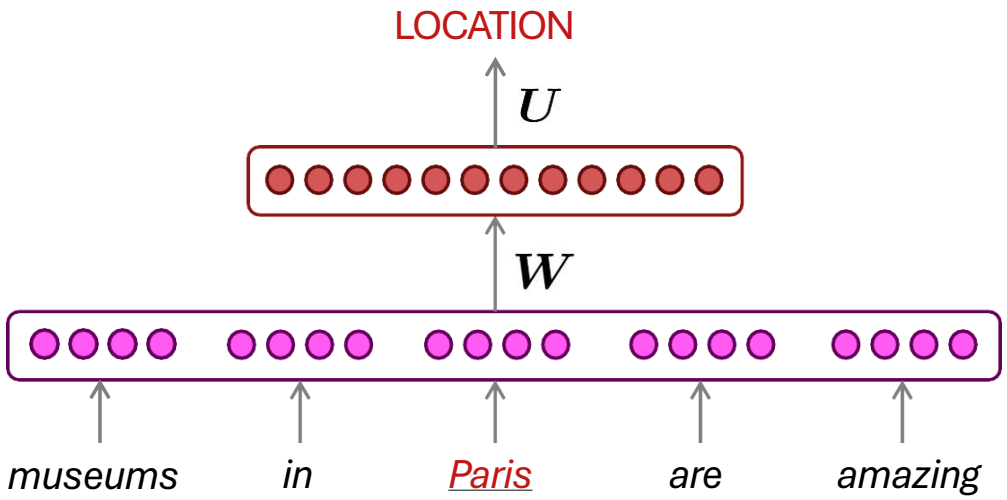
$$= \prod_{t=1}^{T} \underbrace{P(\boldsymbol{x}^{(t)} | \boldsymbol{x}^{(t-1)}, \ldots, \boldsymbol{x}^{(1)})}$$

This is what our LM provides

How to Build a *Neural* Language Model?

- Recall the Language Modeling task:
  - **Input:** sequence of words $\boldsymbol{x}^{(1)}, \boldsymbol{x}^{(2)}, \ldots, \boldsymbol{x}^{(t)}$
  - **Output:** probability distribution of the next word $\boldsymbol{P}\left(\boldsymbol{x}^{(t+1)} \middle| \boldsymbol{x}^{(t)}, \ldots, \boldsymbol{x}^{(1)}\right)$
- How about a window-based neural model?

Example: NER Task



A Fixed-window Neural Language Model

~~as the proctor started the clock~~ the students opened their _____

discard

fixed window

A Fixed-window Neural Language Model

output distribution

$$\hat{\boldsymbol{y}} = \text{softmax}(\boldsymbol{U}\boldsymbol{h} + \boldsymbol{b}_2) \in \mathbb{R}^{|V|}$$

hidden layer

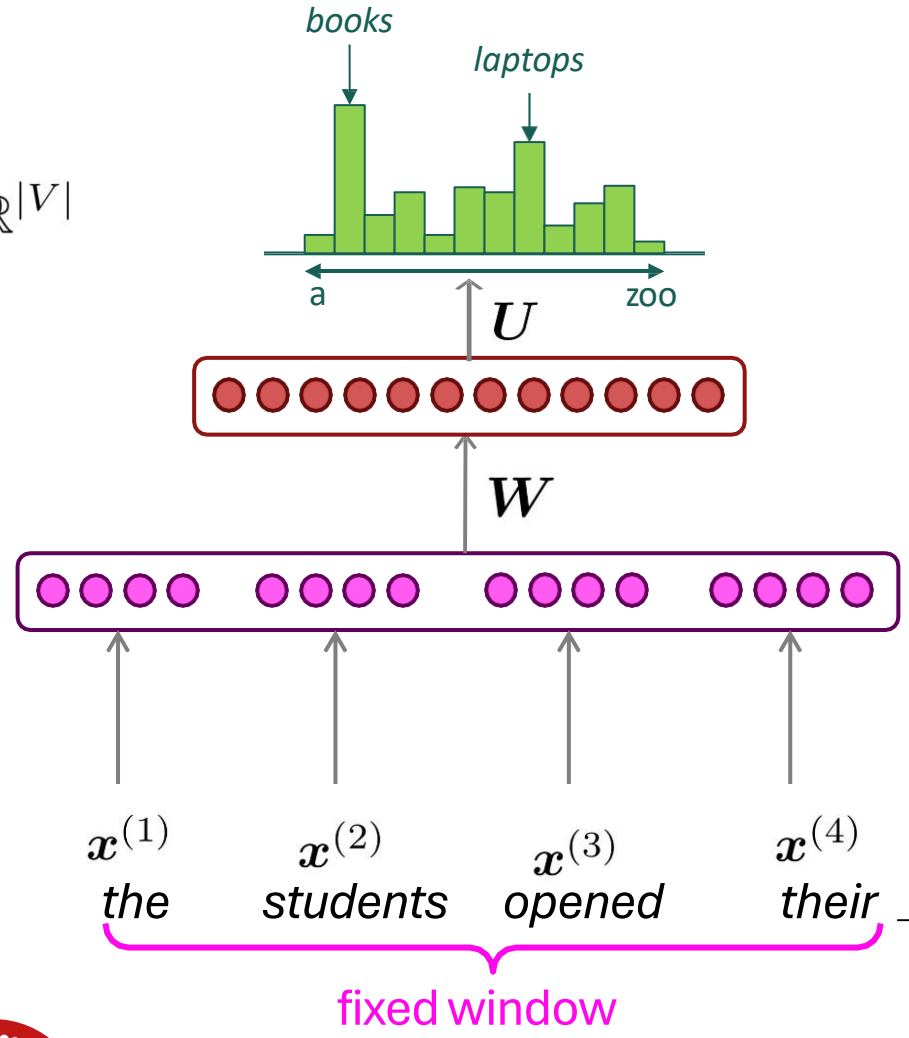
$$\boldsymbol{h} = f(\boldsymbol{W}\boldsymbol{e} + \boldsymbol{b}_1)$$

concatenated word embeddings

$$\boldsymbol{e} = [\boldsymbol{e}^{(1)}; \boldsymbol{e}^{(2)}; \boldsymbol{e}^{(3)}; \boldsymbol{e}^{(4)}]$$

words / one-hot vectors

$$\boldsymbol{x}^{(1)}, \boldsymbol{x}^{(2)}, \boldsymbol{x}^{(3)}, \boldsymbol{x}^{(4)}$$



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A Fixed-window Neural Language Model

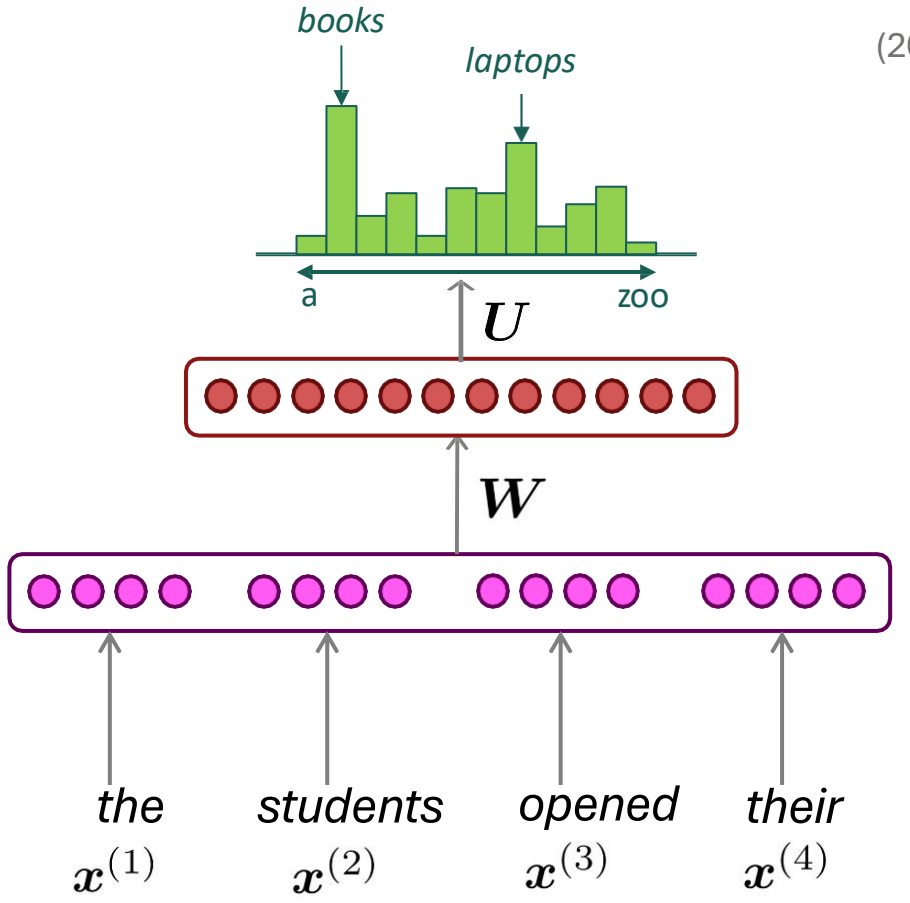
Approximately: Y. Bengio, et al. (2000/2003): A Neural Probabilistic Language Model

Improvements over *n*-gram LM:

- No sparsity problem
- Don't need to store all observed *n*-grams

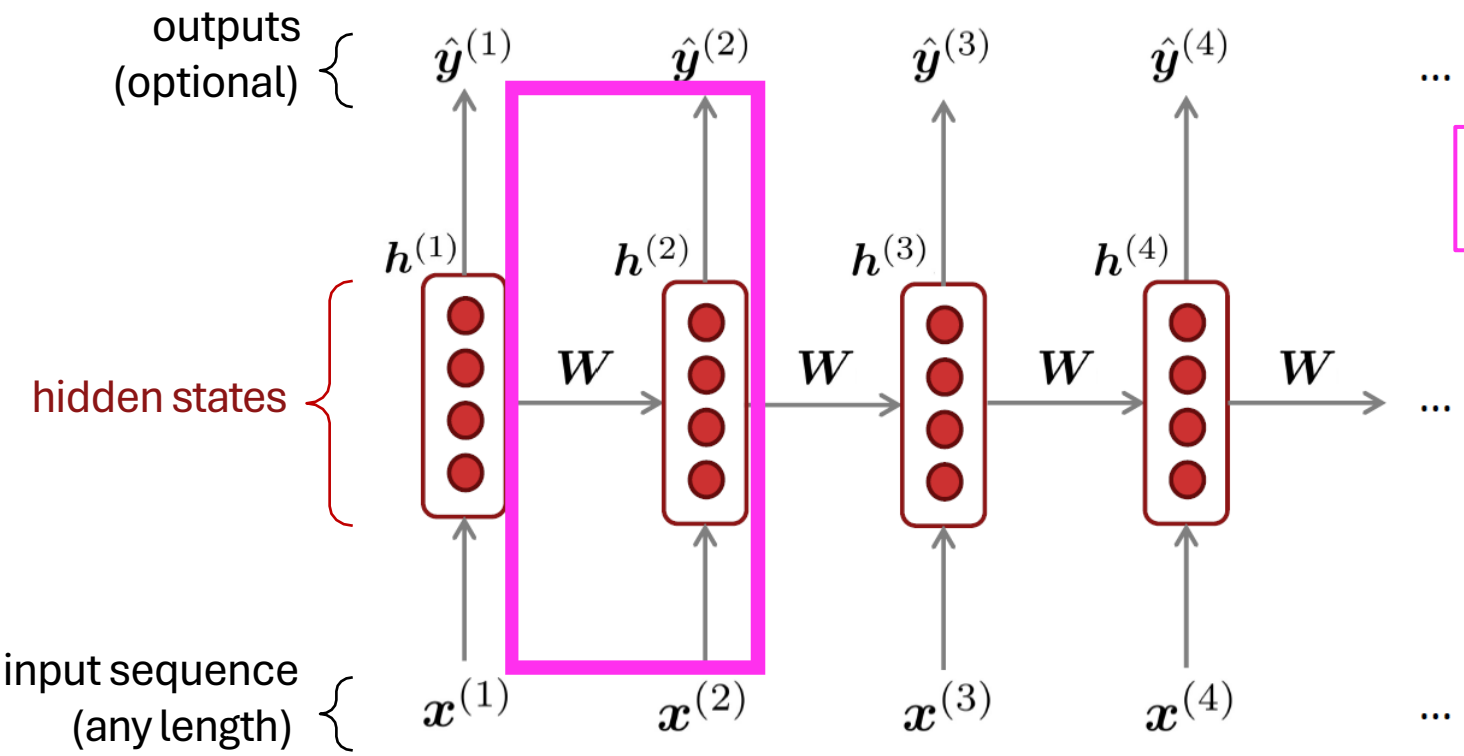
Remaining problems:

- Fixed window is too small
- Enlarging window enlarges W
- $x^{(1)}$ and $x^{(2)}$ are multiplied by completely different weights in W. No symmetry in how the inputs are processed.



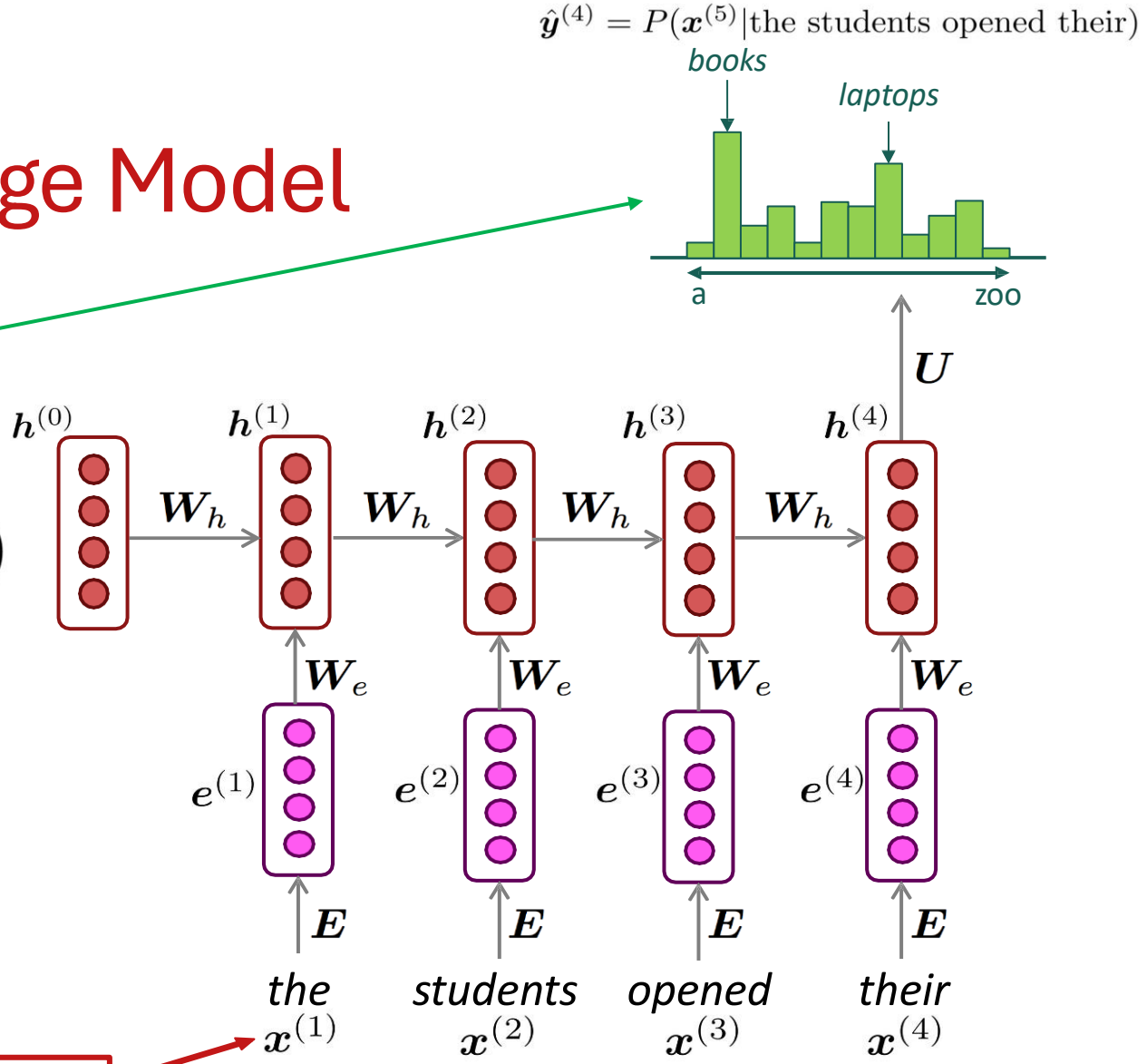
We need a neural architecture that can process ***any length input***

Recurrent Neural Networks (RNN)



Core idea: Apply the same weights *W repeatedly*

A Simple RNN Language Model



output distribution

$$\hat{\boldsymbol{y}}^{(t)} = \text{softmax}\left(\boldsymbol{U}\boldsymbol{h}^{(t)} + \boldsymbol{b}_2\right) \in \mathbb{R}^{|V|}$$

hidden states

$$\boldsymbol{h}^{(t)} = \sigma\left(\boldsymbol{W}_h\boldsymbol{h}^{(t-1)} + \boldsymbol{W}_e\boldsymbol{e}^{(t)} + \boldsymbol{b}_1\right)$$

$\boldsymbol{h}^{(0)}$ is the initial hidden state

word embeddings

$$\boldsymbol{e}^{(t)} = \boldsymbol{E}\boldsymbol{x}^{(t)}$$

words / one-hot vectors

$$\boldsymbol{x}^{(t)} \in \mathbb{R}^{|V|}$$

***Note**: this input sequence could be much longer now!*

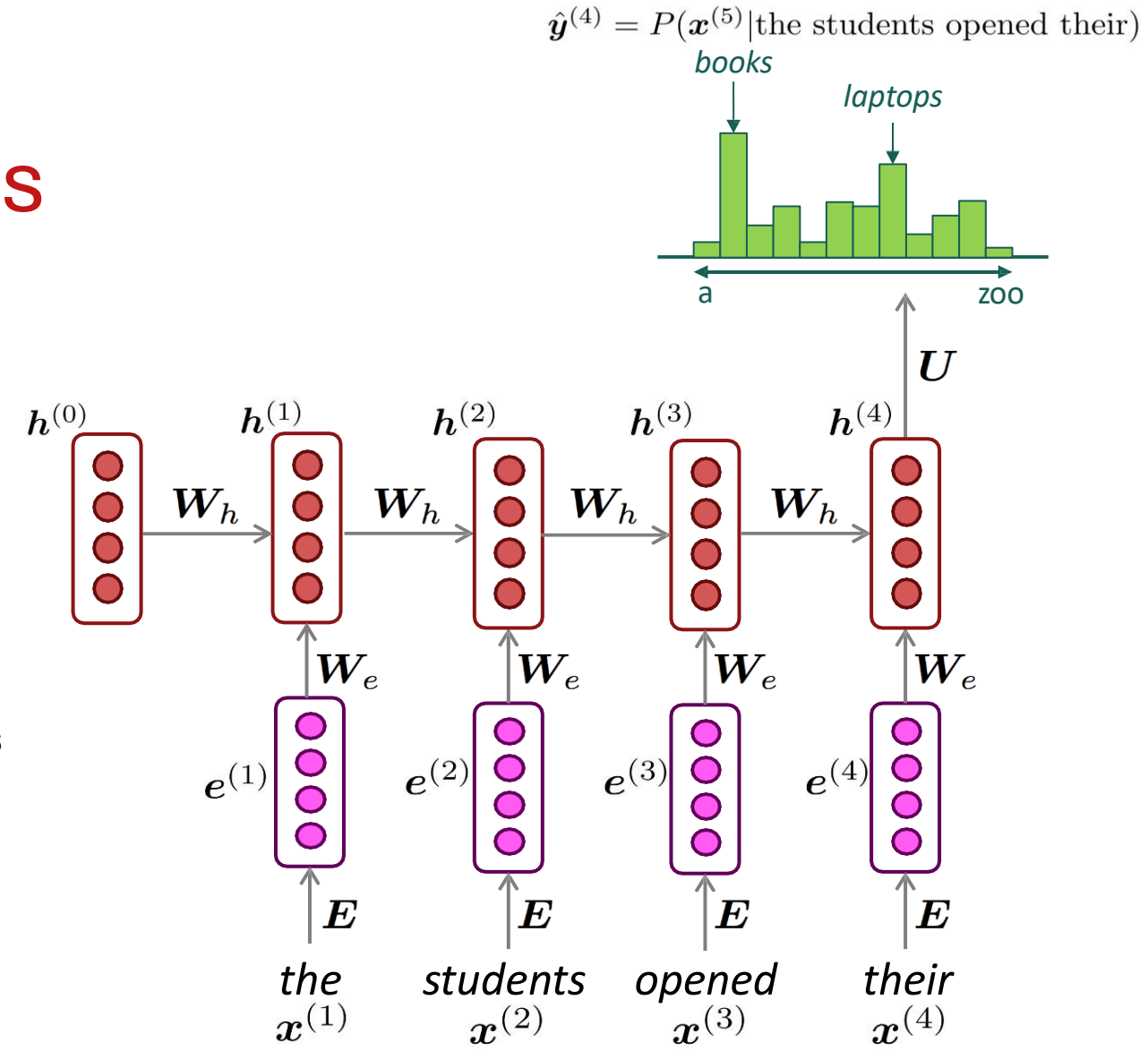
RNN Language Models

RNN Advantages:

- Can process any length input
- Computation for step *t* can (in theory) use information from many steps back
- Model size doesn't increase for longer input context
- Same weights applied on every timestep, so there is symmetry in how inputs are processed.

RNN Disadvantages:

- Recurrent computation is slow
- In practice, difficult to access information from many steps back



Training an RNN Language Model

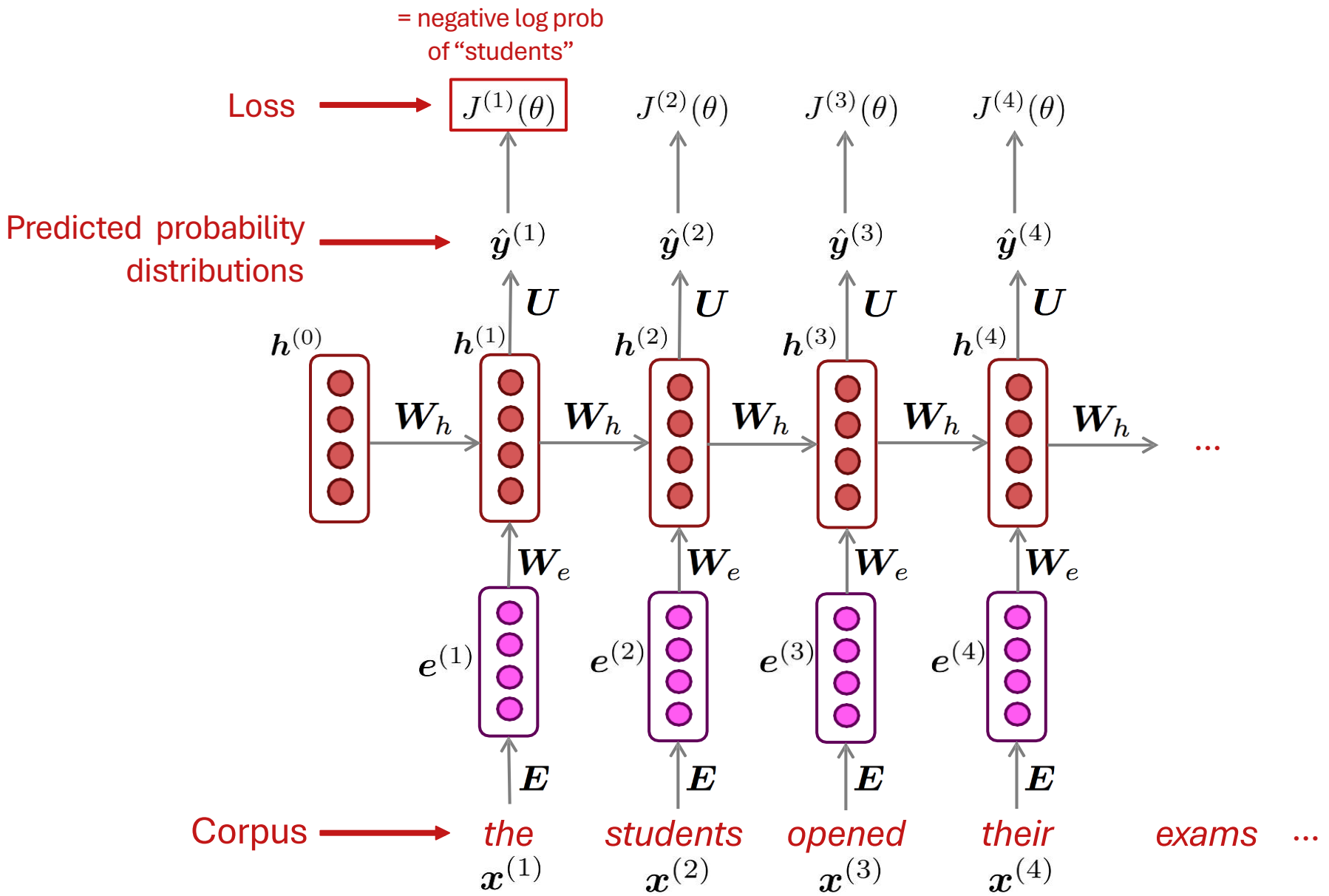
Training an RNN Language Model

- Get a big corpus of text which is a sequence of words $x^{(1)}, x^{(2)}, \ldots, x^{(T)}$
- Feed into RNN-LM; compute output distribution $\hat{y}^{(t)}$ for every step *t*.
  - i.e., predict probability distribution of every word, given words so far
- Loss function on step *t* is cross-entropy between predicted probability distribution $\hat{y}^{(t)}$, and the true next word $y^{(t)}$ (one-hot for $x^{(t+1)}$):

$$J^{(t)}(\theta) = CE(\boldsymbol{y}^{(t)}, \hat{\boldsymbol{y}}^{(t)}) = -\sum_{w \in V} \boldsymbol{y}_w^{(t)} \log \hat{\boldsymbol{y}}_w^{(t)} = -\log \hat{\boldsymbol{y}}_{\boldsymbol{x}_{t+1}}^{(t)}$$

- Average this to get overall loss for entire training set:

$$J(\theta) = \frac{1}{T} \sum_{t=1}^{T} J^{(t)}(\theta) = \frac{1}{T} \sum_{t=1}^{T} -\log \hat{\boldsymbol{y}}_{\boldsymbol{x}_{t+1}}^{(t)}$$


= negative log prob of "students"
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$J^{(2)}(\theta)$
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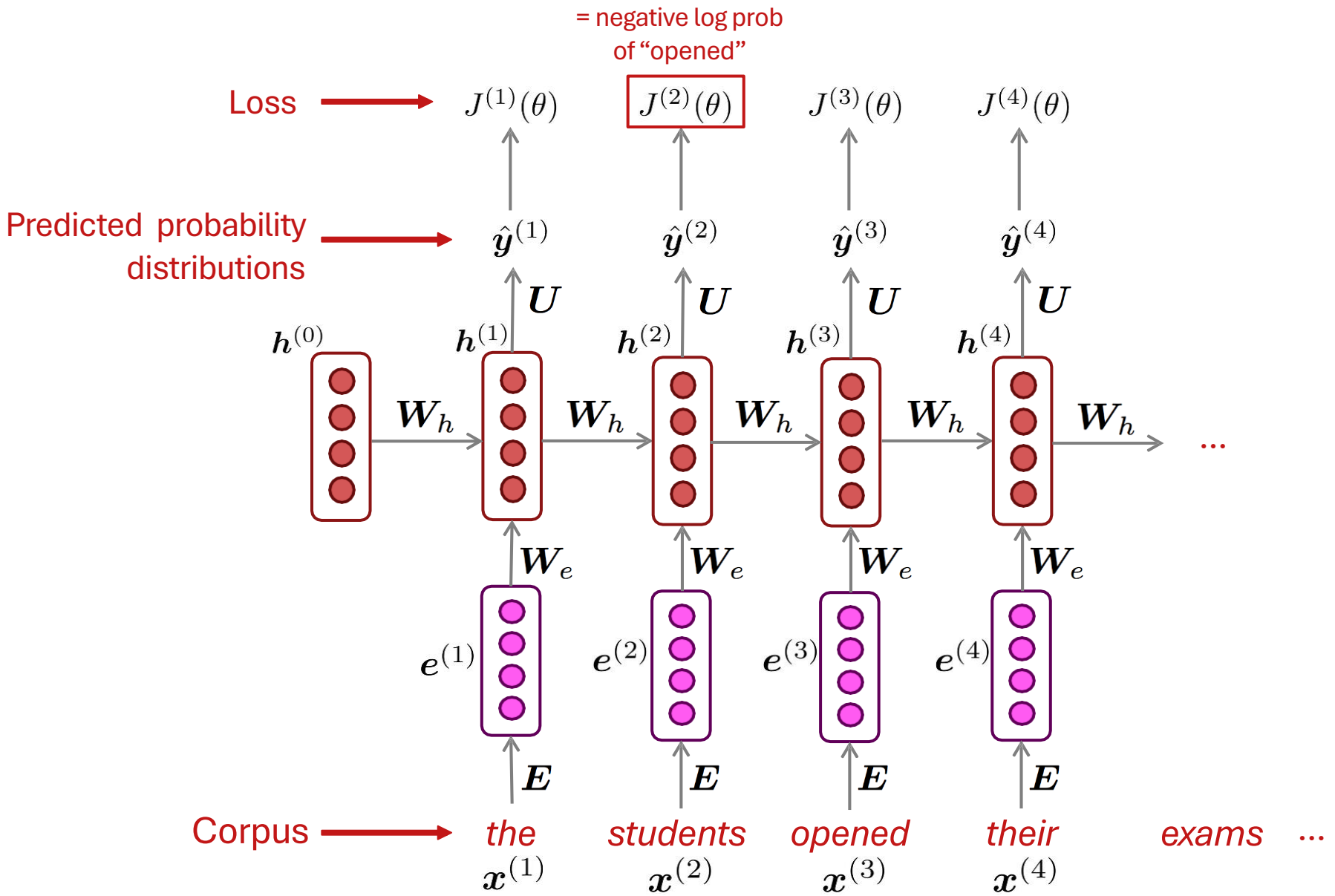
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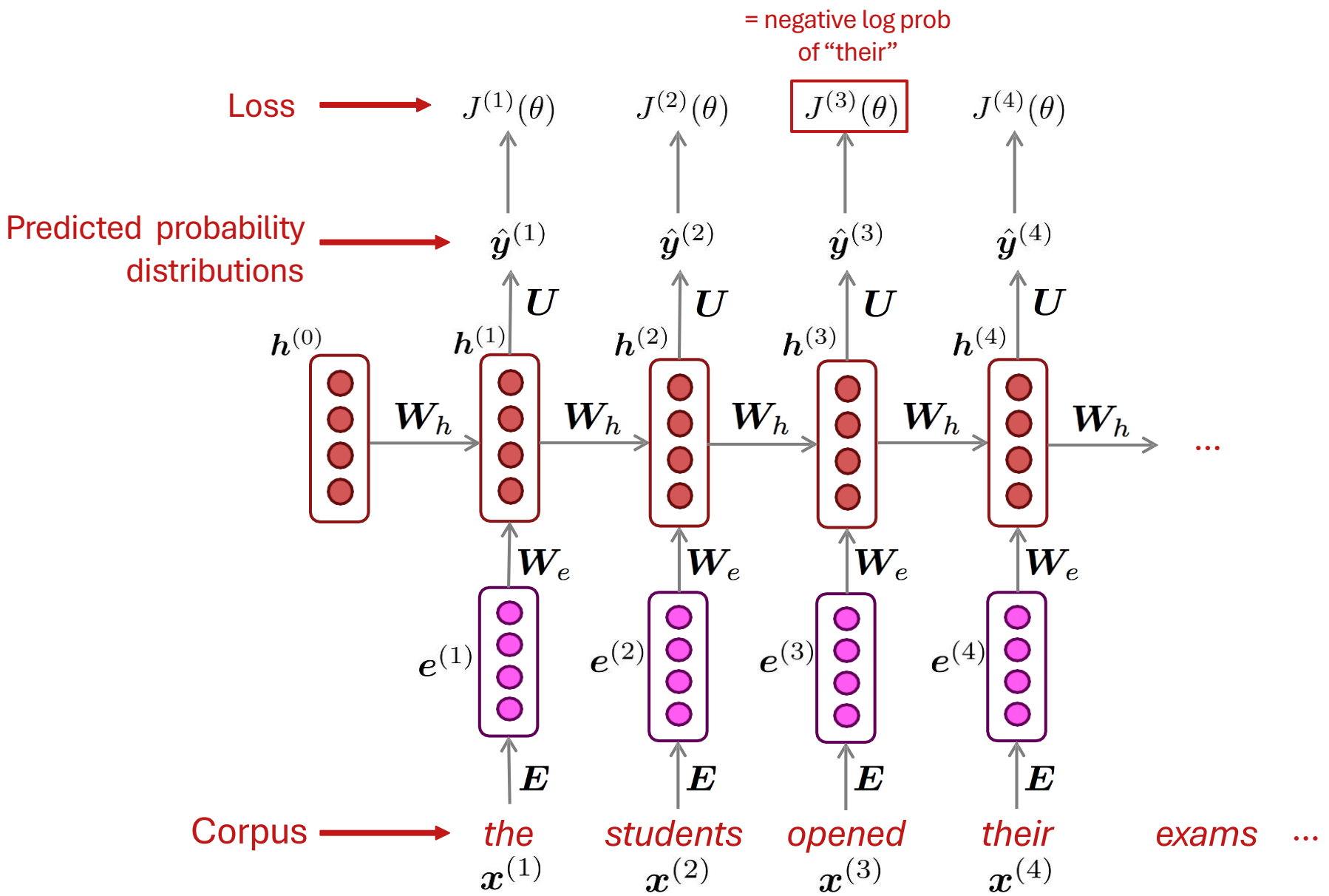
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Predicted probability distributions
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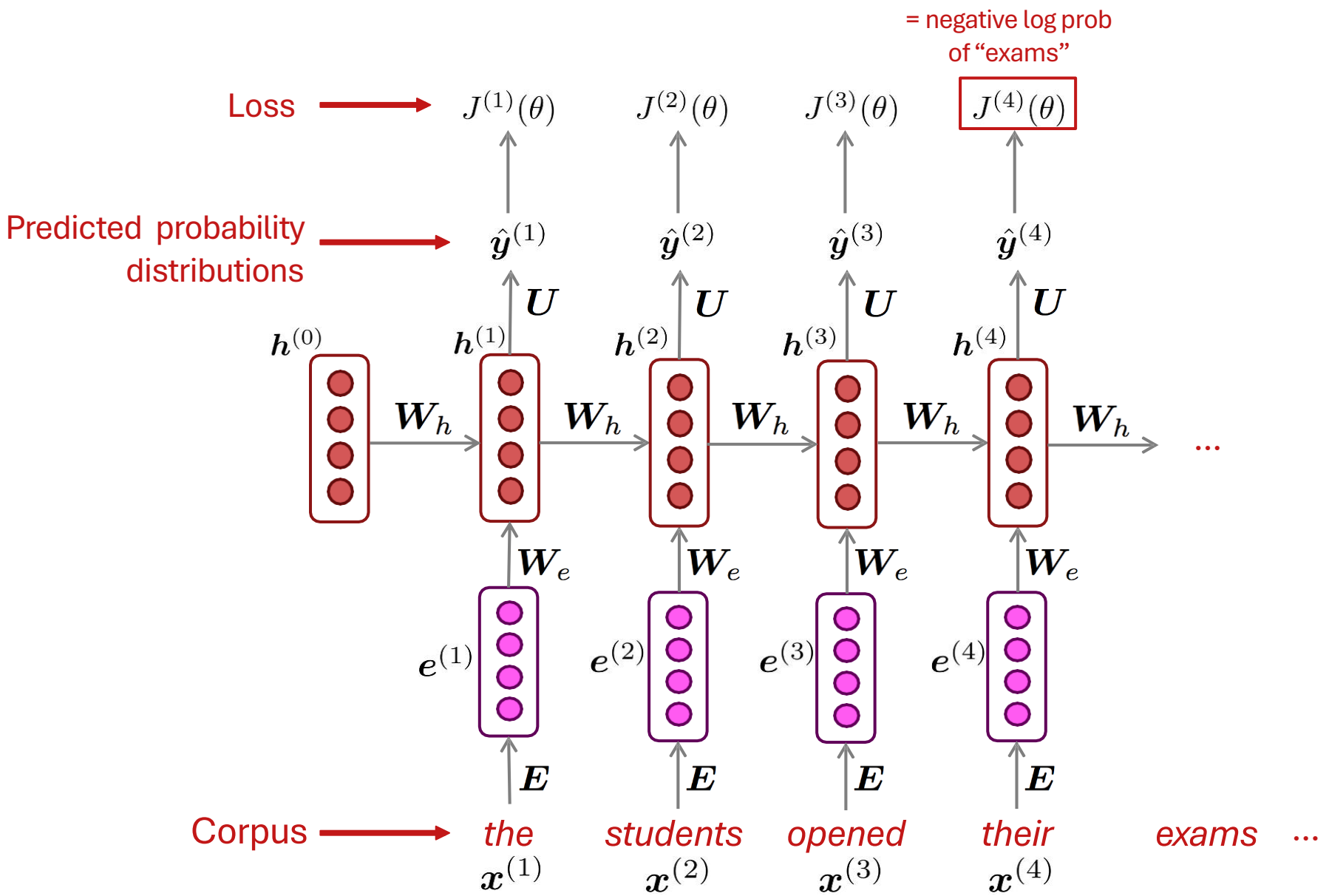
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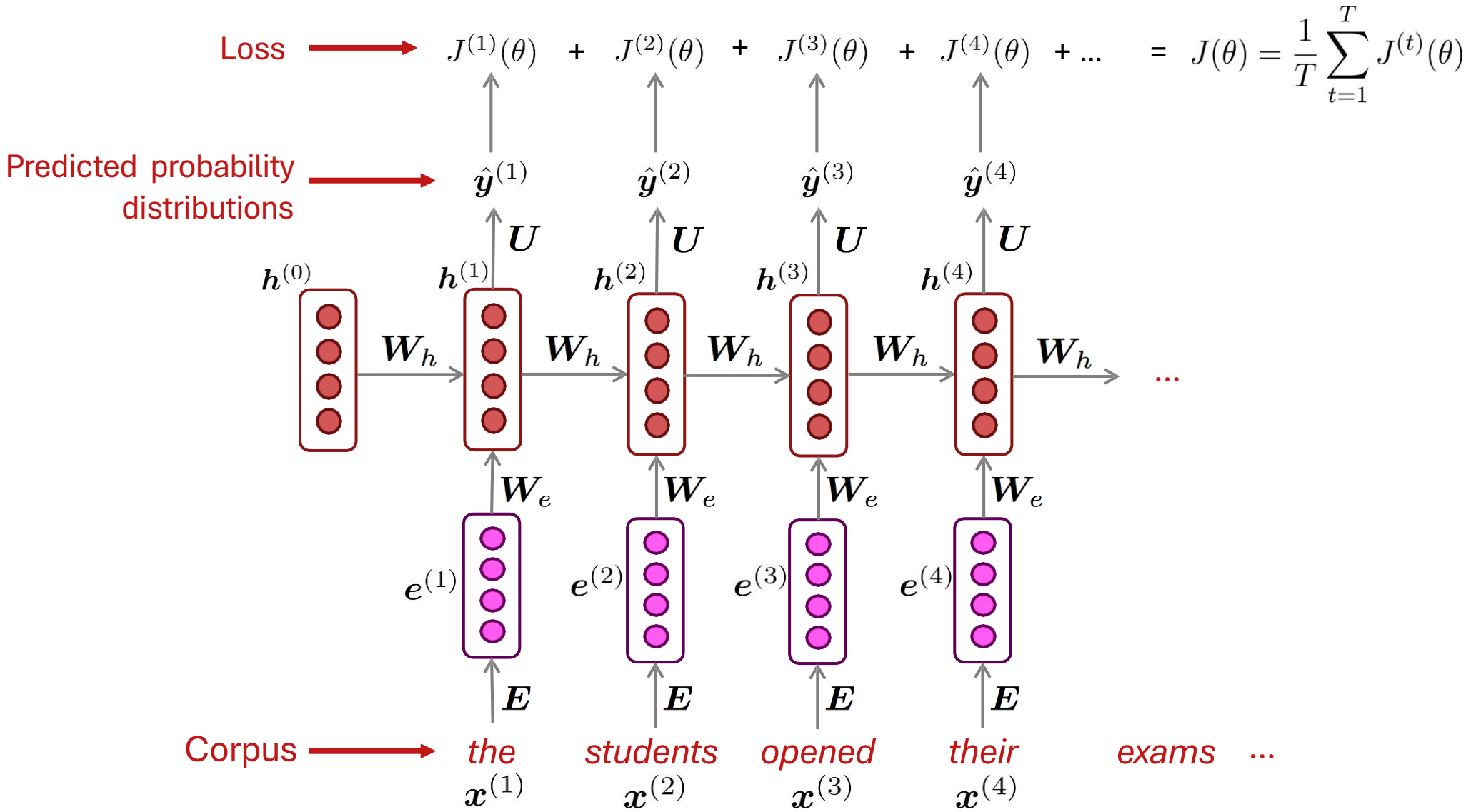
"Teacher forcing"



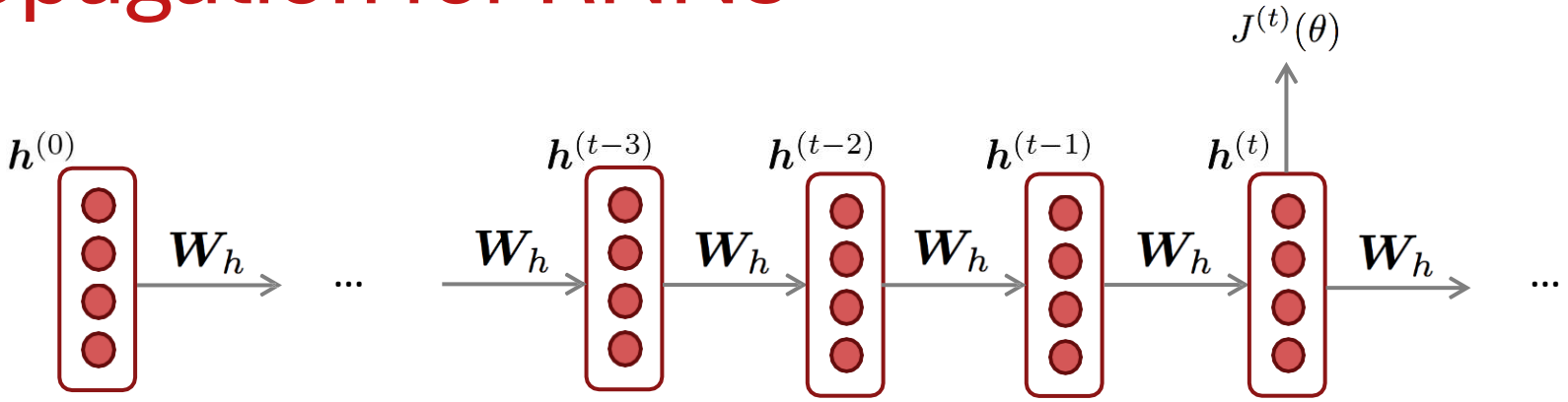
Training a RNN Language Model

- However: Computing loss and gradients across entire corpus $x^{(1)}, x^{(2)}, \ldots, x^{(T)}$ at once is too expensive (memory-wise)!

$$J(\theta) = \frac{1}{T}\sum_{t=1}^{T} J^{(t)}(\theta)$$

- In practice, consider $x^{(1)}, x^{(2)}, \ldots, x^{(T)}$ as a sentence (or a document)
- Recall: Stochastic Gradient Descent allows us to compute loss and gradients for small chunk of data, and update.
- Compute loss $J(\theta)$ for a sentence (actually, a batch of sentences), compute gradients and update weights. Repeat on a new batch of sentences.

Backpropagation for RNNs



Question: What's the derivative of $J^{(t)}(\theta)$ w.r.t the repeated weight matrix W_h ?

Answer:

$$\frac{\partial J^{(t)}}{\partial \boldsymbol{W_h}} = \sum_{i=1}^{t} \frac{\partial J^{(t)}}{\partial \boldsymbol{W_h}}\bigg|_{(i)}$$

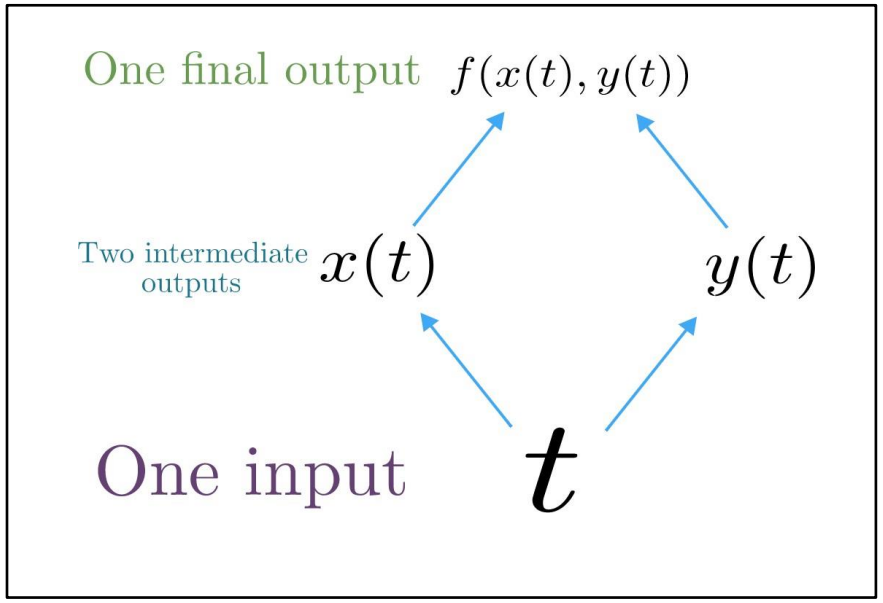
"The gradient w.r.t. a repeated weight is the sum of the gradient w.r.t. each time it appears"

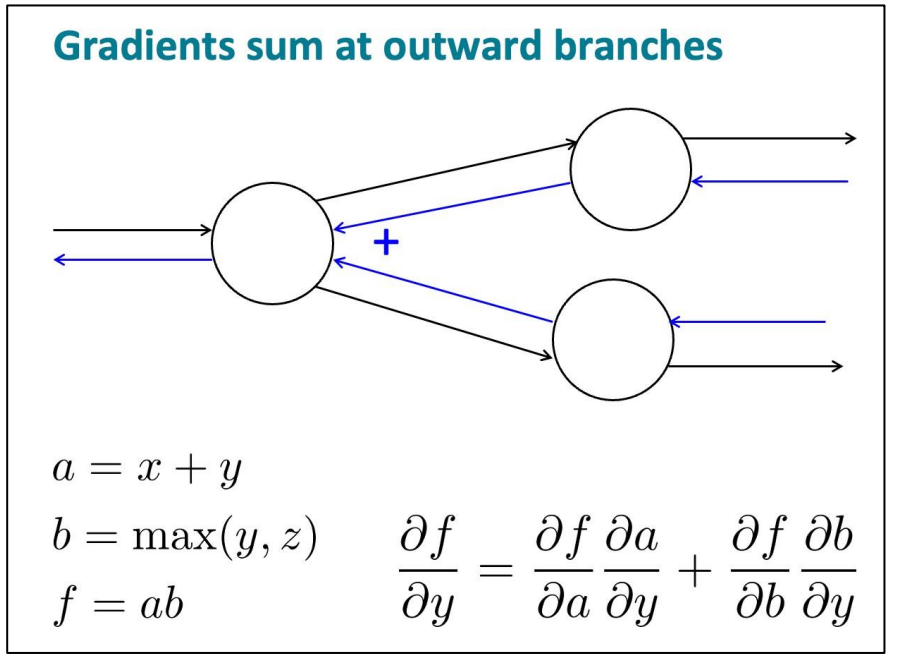
Why?

Multivariable Chain Rule

- Given a multivariable function $f(x, y)$, and two single variable functions $x(t)$ and $y(t)$, here's what the multivariable chain rule says:

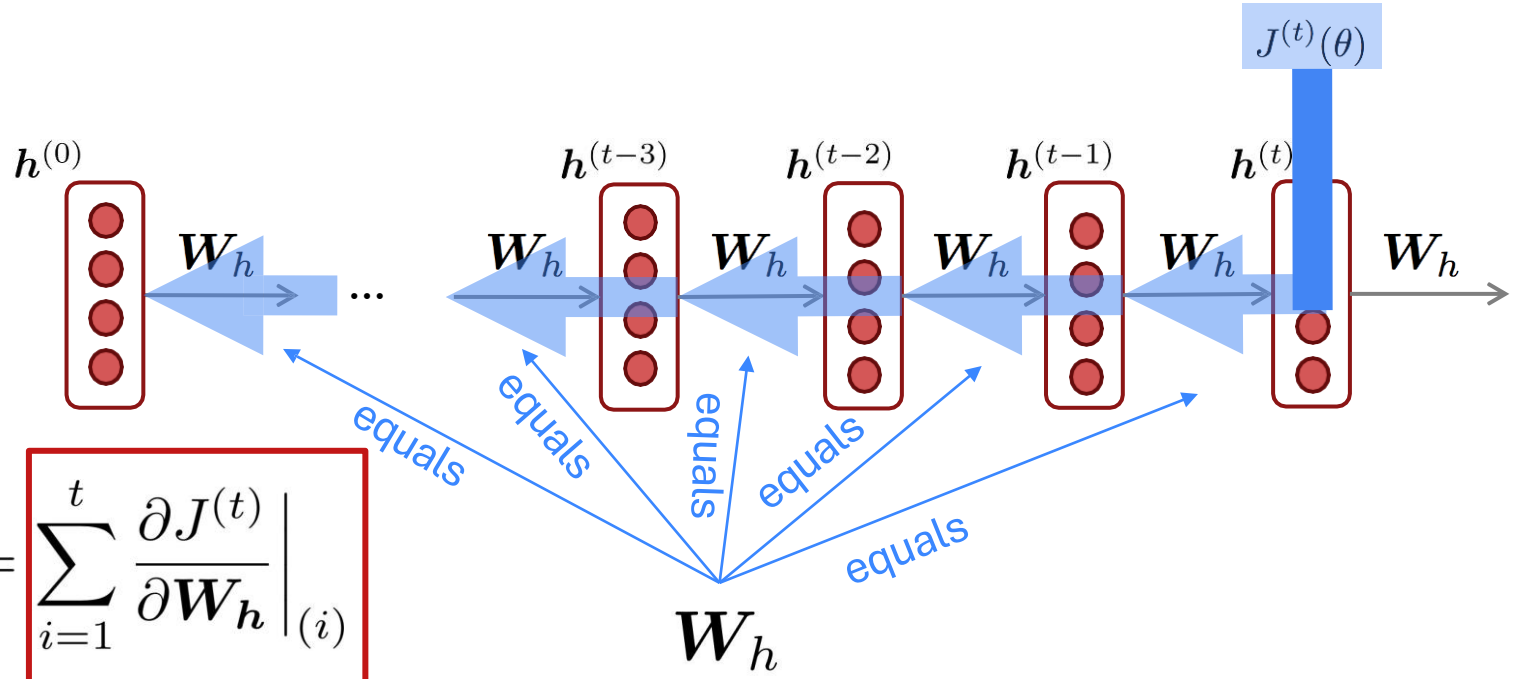
$$\underbrace{\frac{d}{dt} f(x(t), y(t))}_{\text{Derivative of composition function}} = \frac{\partial f}{\partial x}\frac{dx}{dt} + \frac{\partial f}{\partial y}\frac{dy}{dt}$$





Source:
https://www.khanacademy.org/math/multivariable-calculus/multivariable-derivatives/differentiating-vector-valued-functions/a/multivariable-chain-rule-simple-version

Training The Parameters of RNNs: Backpropagation for RNNs



$$\frac{\partial J^{(t)}}{\partial \boldsymbol{W_h}} = \sum_{i=1}^{t} \frac{\partial J^{(t)}}{\partial \boldsymbol{W_h}}\bigg|_{(i)}$$

In practice, often "truncated" after ~20 timesteps for training efficiency reasons

Question: How do we calculate this?

Answer: Backpropagate over timesteps $i = t, \ldots, 0$, summing gradients as you go. This algorithm is called **"backpropagation through time"**

[Werbos, P.G., 1988, *Neural Networks* **1**, and others]

Apply the multivariable chain rule:

$$\frac{\partial J^{(t)}}{\partial \boldsymbol{W_h}} = \sum_{i=1}^{t} \frac{\partial J^{(t)}}{\partial \boldsymbol{W_h}}\bigg|_{(i)} \frac{\partial \boldsymbol{W_h}|_{(i)}}{\partial \boldsymbol{W_h}}$$

= 1

$$= \sum_{i=1}^{t} \frac{\partial J^{(t)}}{\partial \boldsymbol{W_h}}\bigg|_{(i)}$$