

# Neural Language Models

Large Language Models: Introduction and Recent Advances

ELL881 · AIL821



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**BREAKING  
NEWS**



# Mistral NeMo drops!

Mistral AI collaborates with NVIDIA to release Mistral NeMo, a **12B model**.

Released on July 18, 2024

<https://mistral.ai/news/mistral-nemo/>

Mistral NeMo's reasoning, world knowledge, and coding accuracy are state-of-the-art in its size category.

Mistral NeMo uses a **new tokenizer, Tekken** that was trained on over more than 100 languages, and compresses natural language text and source code more efficiently than the SentencePiece tokenizer.



It is trained on function calling, and is multilingual, being particularly strong in English, French, German, Spanish, Italian, Portuguese, Chinese, Japanese, Korean, Arabic, and Hindi.

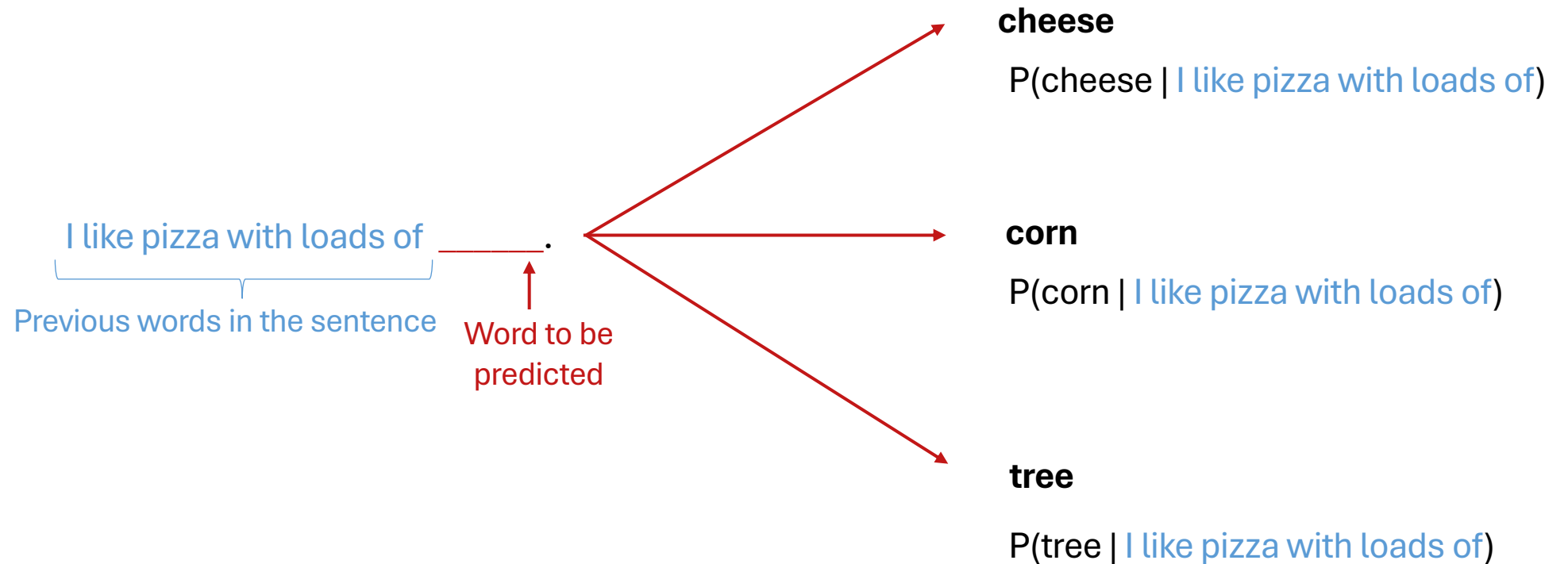
Mistral NeMo offers a large context window of up to **128k tokens !!!**

# Pre-requisite for this chapter

- Loss function, backpropagation
- CNN
- RNN (LSTM/GRU)

# Recall: Language Modeling

- **Language Modeling** is the task of predicting what word comes next



# Recall: Language Modeling

- You can also think of a Language Model as a system that **assigns a probability to a piece of text**.
- For example, if we have some text  $x^{(1)}, \dots, x^{(T)}$ , then the probability of this text (according to the Language Model) is:

$$\begin{aligned} P(\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(T)}) &= P(\mathbf{x}^{(1)}) \times P(\mathbf{x}^{(2)} | \mathbf{x}^{(1)}) \times \dots \times P(\mathbf{x}^{(T)} | \mathbf{x}^{(T-1)}, \dots, \mathbf{x}^{(1)}) \\ &= \prod_{t=1}^T P(\mathbf{x}^{(t)} | \mathbf{x}^{(t-1)}, \dots, \mathbf{x}^{(1)}) \end{aligned}$$

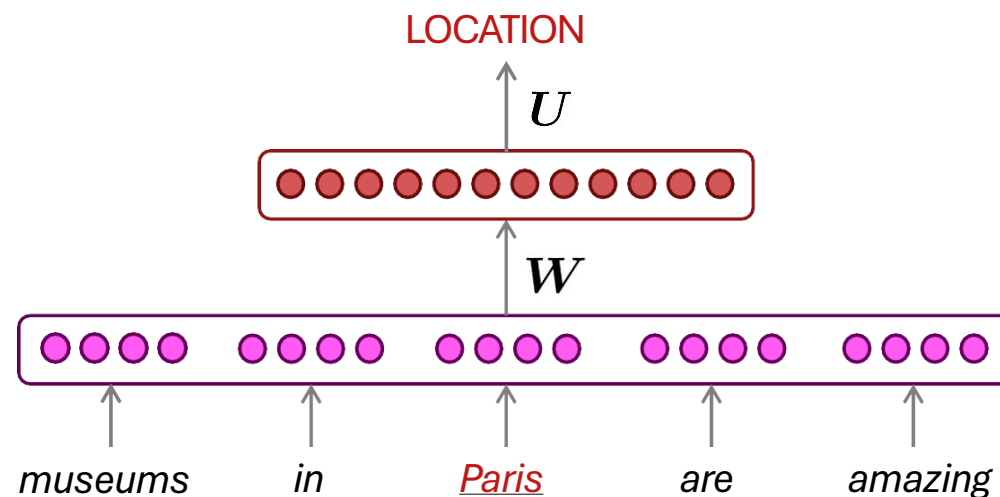
This is what our LM provides



# How to Build a *Neural* Language Model?

- Recall the Language Modeling task:
  - **Input:** sequence of words  $x^{(1)}, x^{(2)}, \dots, x^{(t)}$
  - **Output:** probability distribution of the next word  $P(x^{(t+1)} | x^{(t)}, \dots, x^{(1)})$
- How about a window-based neural model?

## Example: NER Task





# A Fixed-window Neural Language Model

output distribution

$$\hat{y} = \text{softmax}(U\mathbf{h} + \mathbf{b}_2) \in \mathbb{R}^{|V|}$$

hidden layer

$$\mathbf{h} = f(\mathbf{W}\mathbf{e} + \mathbf{b}_1)$$

concatenated word embeddings

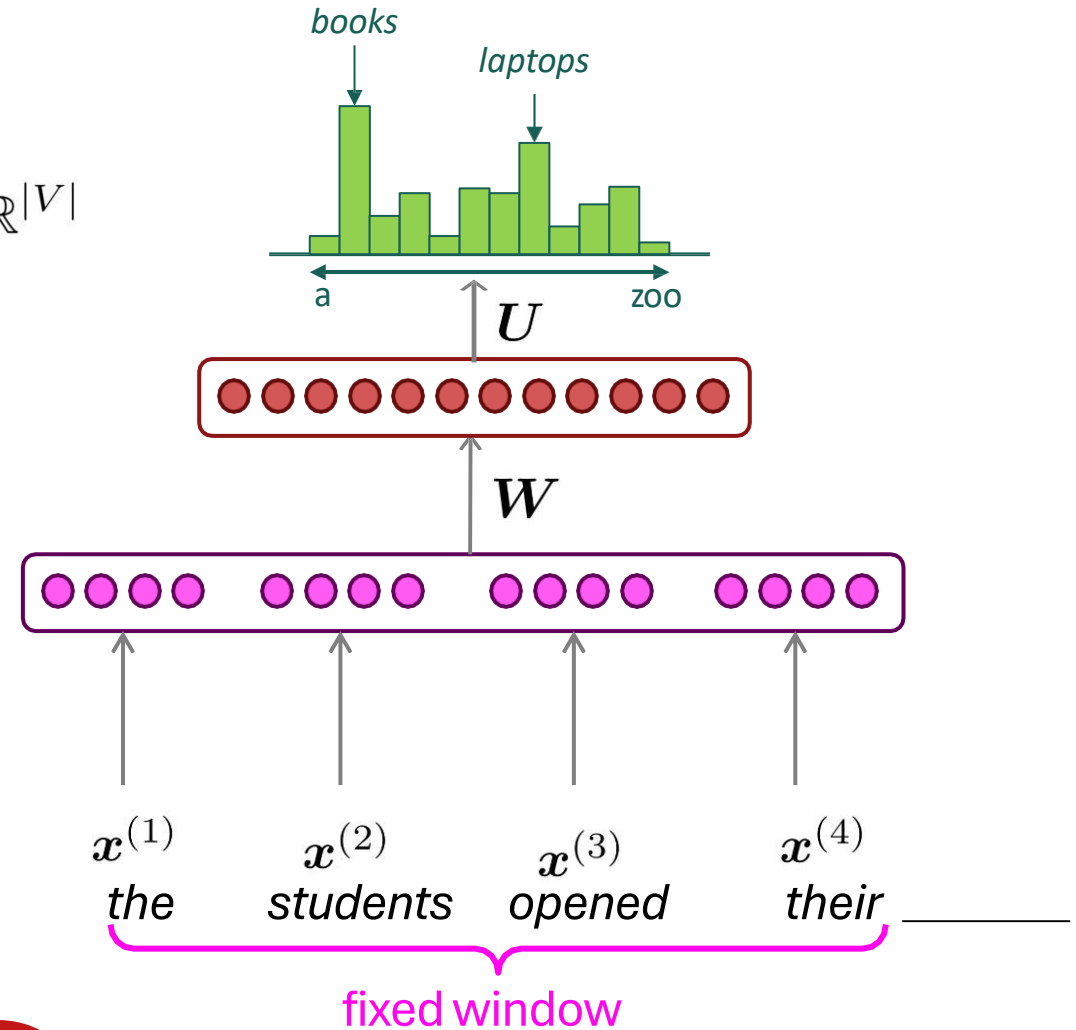
$$\mathbf{e} = [\mathbf{e}^{(1)}; \mathbf{e}^{(2)}; \mathbf{e}^{(3)}; \mathbf{e}^{(4)}]$$

words / one-hot vectors

$$\mathbf{x}^{(1)}, \mathbf{x}^{(2)}, \mathbf{x}^{(3)}, \mathbf{x}^{(4)}$$

~~as the preator started the clock~~

discard





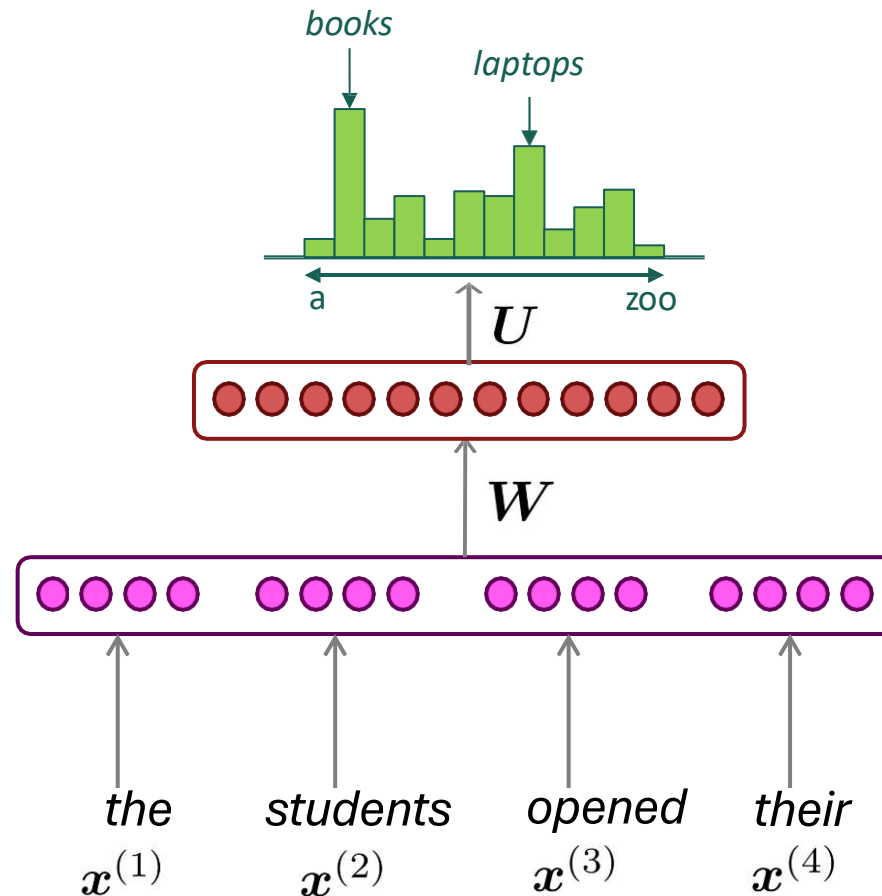
# A Fixed-window Neural Language Model

## Improvements over $n$ -gram LM:

- No sparsity problem
- Don't need to store all observed  $n$ -grams

## Remaining problems:

- Fixed window is **too small**
- Enlarging window enlarges  $W$
- $x^{(1)}$  and  $x^{(2)}$  are multiplied by completely different weights in  $W$ .  
**No symmetry** in how the inputs are processed.

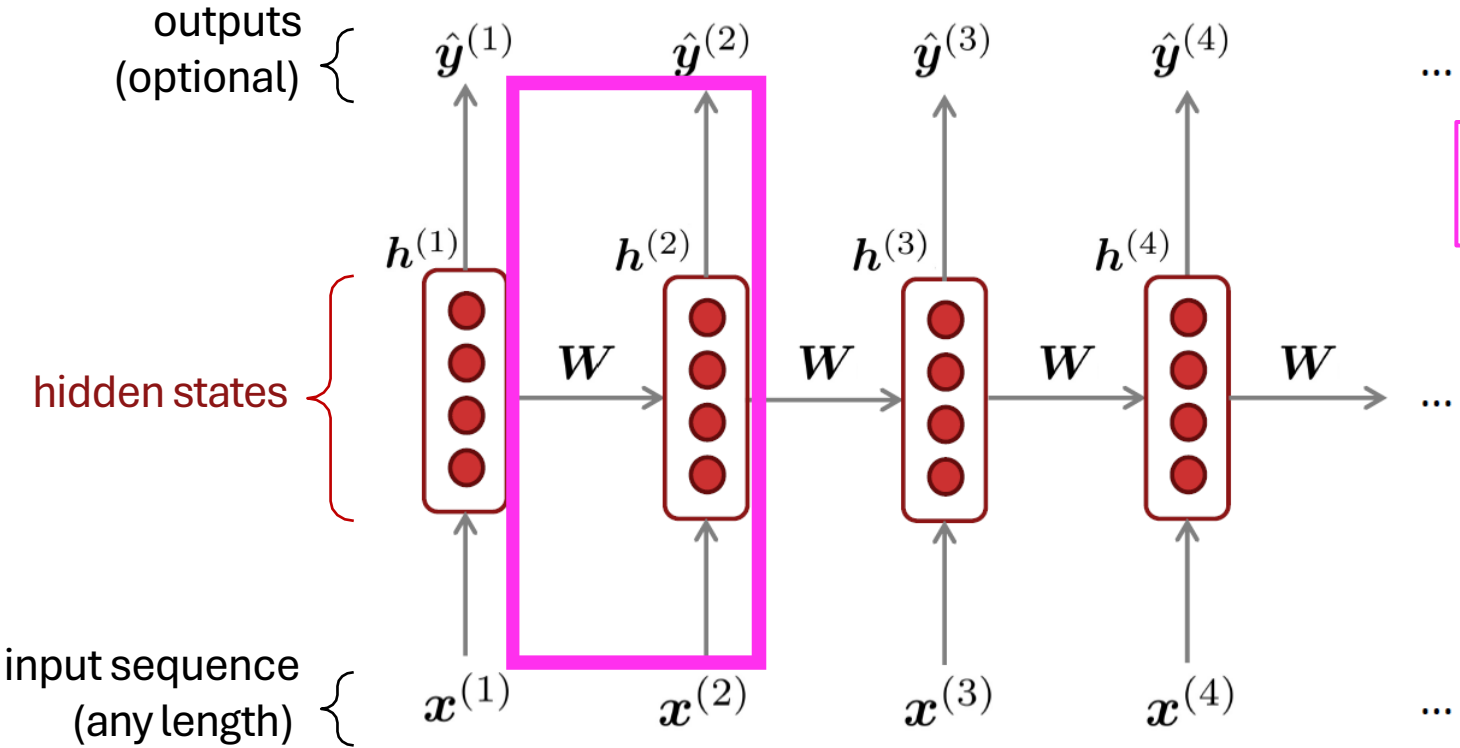


Approximately: Y. Bengio, et al.  
(2000/2003): A Neural Probabilistic  
Language Model

We need a neural  
architecture that can  
process **any length**  
**input**



# Recurrent Neural Networks (RNN)



**Core idea:** Apply the same weights  $W$  repeatedly



# A Simple RNN Language Model

output distribution

$$\hat{y}^{(t)} = \text{softmax}(U\mathbf{h}^{(t)} + \mathbf{b}_2) \in \mathbb{R}^{|V|}$$

hidden states

$$\mathbf{h}^{(t)} = \sigma(\mathbf{W}_h \mathbf{h}^{(t-1)} + \mathbf{W}_e \mathbf{e}^{(t)} + \mathbf{b}_1)$$

$\mathbf{h}^{(0)}$  is the initial hidden state

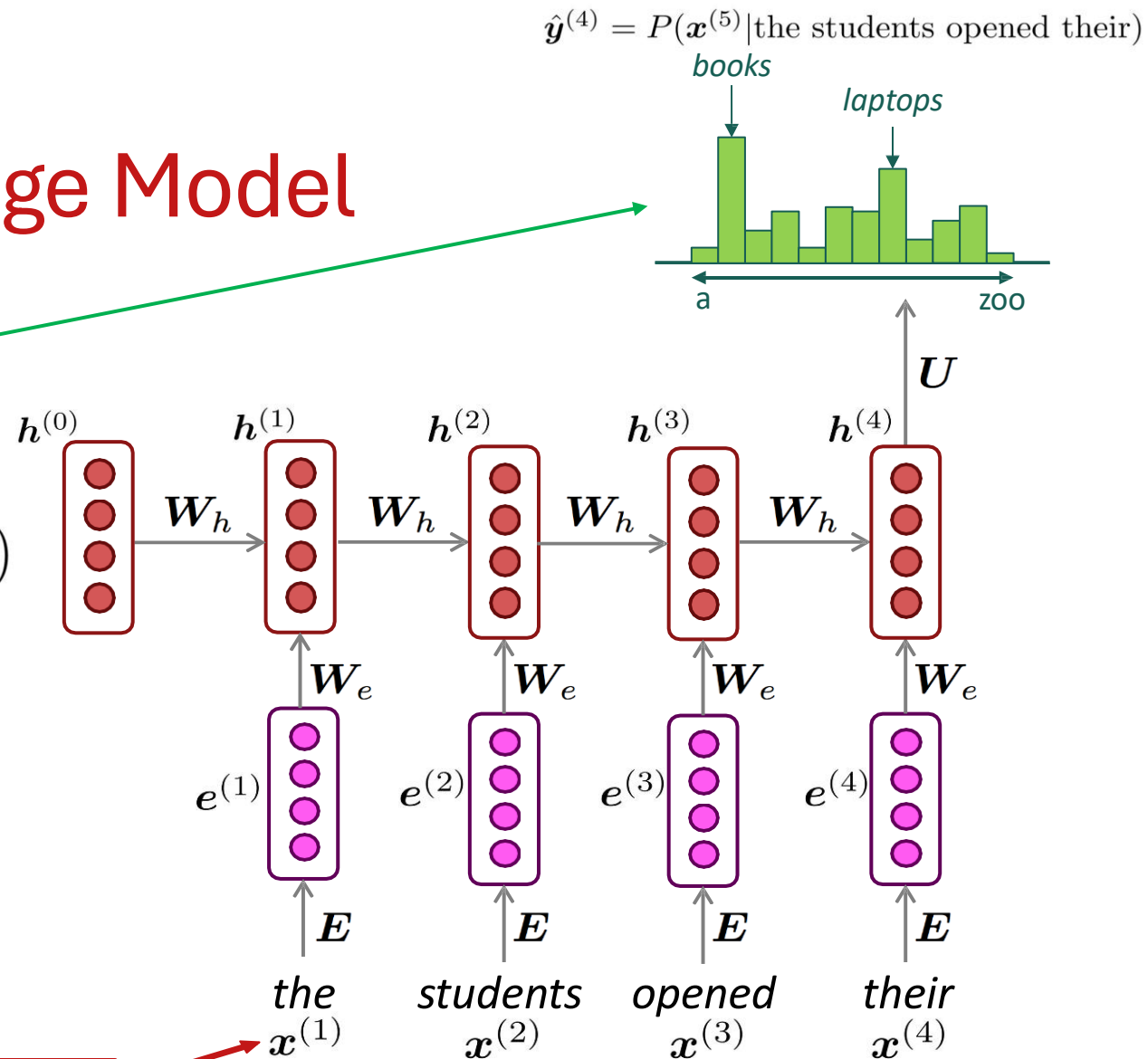
word embeddings

$$\mathbf{e}^{(t)} = \mathbf{E} \mathbf{x}^{(t)}$$

words / one-hot vectors

$$\mathbf{x}^{(t)} \in \mathbb{R}^{|V|}$$

**Note:** this input sequence could be much longer now!



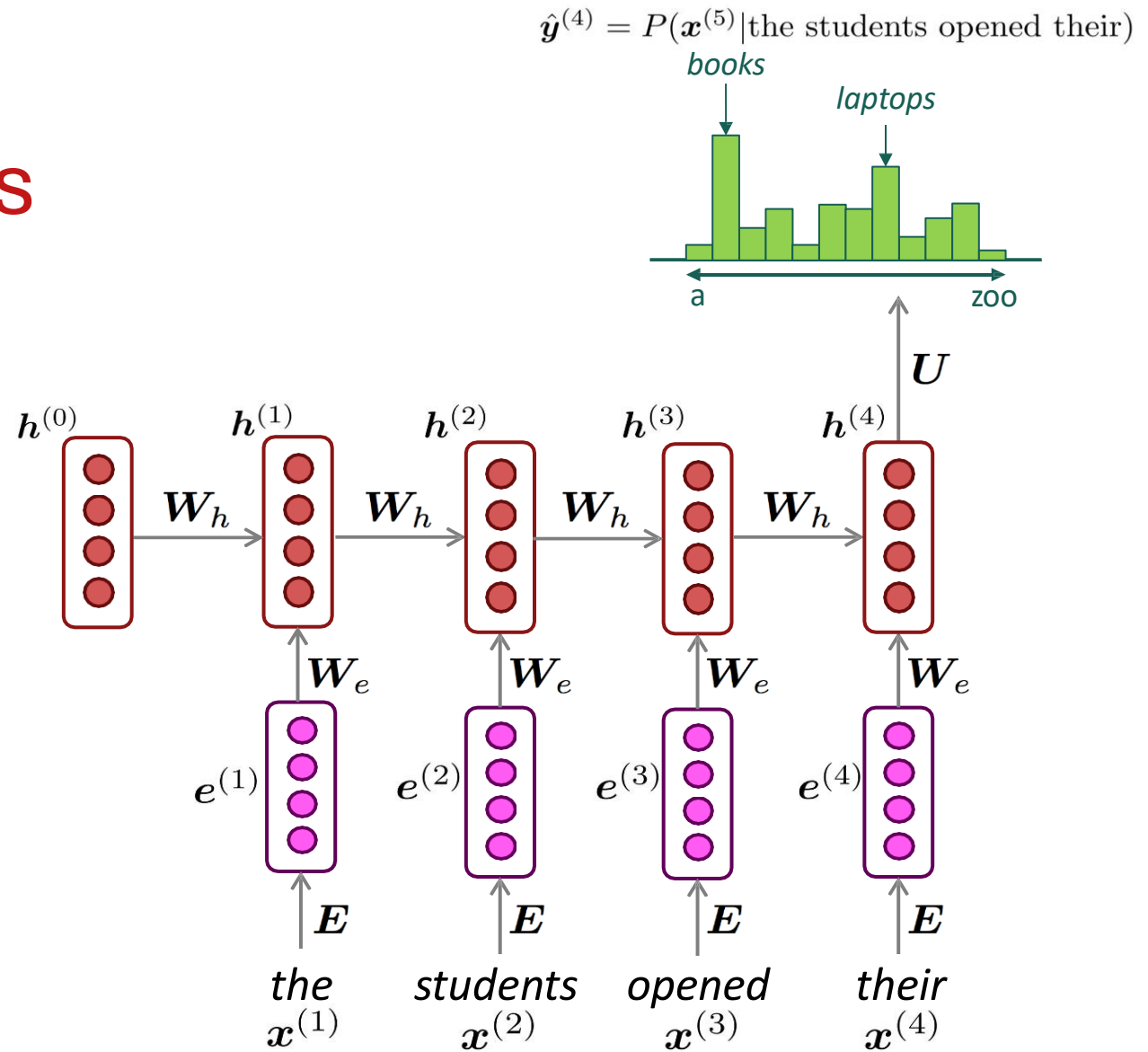
# RNN Language Models

## RNN Advantages:

- Can process **any length** input
- Computation for step  $t$  can (in theory) use information from **many steps back**
- **Model size doesn't increase** for longer input context
- Same weights applied on every timestep, so there is **symmetry** in how inputs are processed.

## RNN Disadvantages:

- Recurrent computation is **slow**
- In practice, difficult to access information from **many steps back**



# Training an RNN Language Model

# Training an RNN Language Model

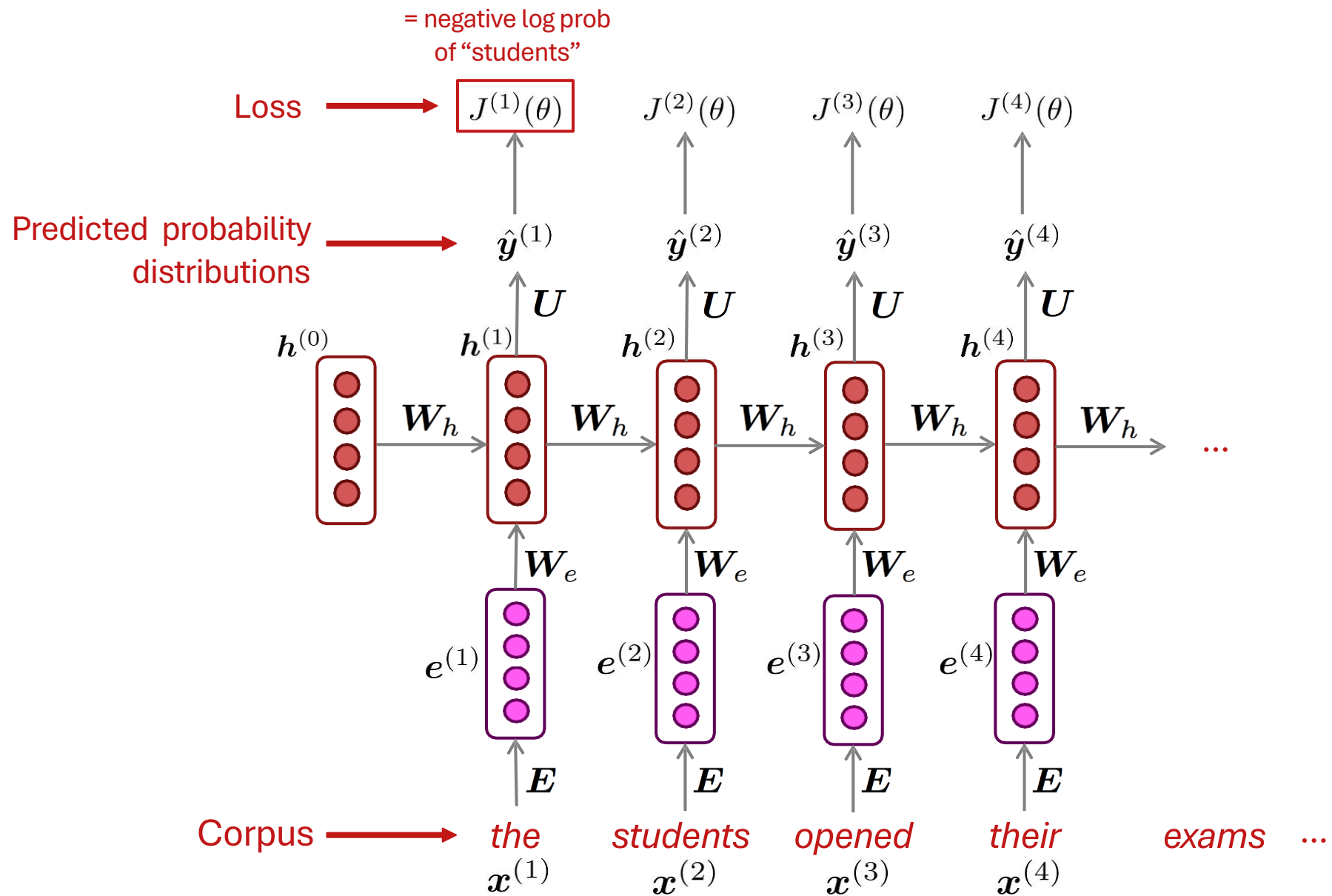
- Get a **big corpus of text** which is a sequence of words  $x^{(1)}, x^{(2)}, \dots, x^{(T)}$
- Feed into RNN-LM; compute output distribution  $\hat{y}^{(t)}$  **for every step  $t$** .
  - i.e., predict probability distribution of every word, given words so far
- **Loss function** on step  $t$  is **cross-entropy** between predicted probability distribution  $\hat{y}^{(t)}$ , and the true next word  $y^{(t)}$  (one-hot for  $x^{(t+1)}$ ):

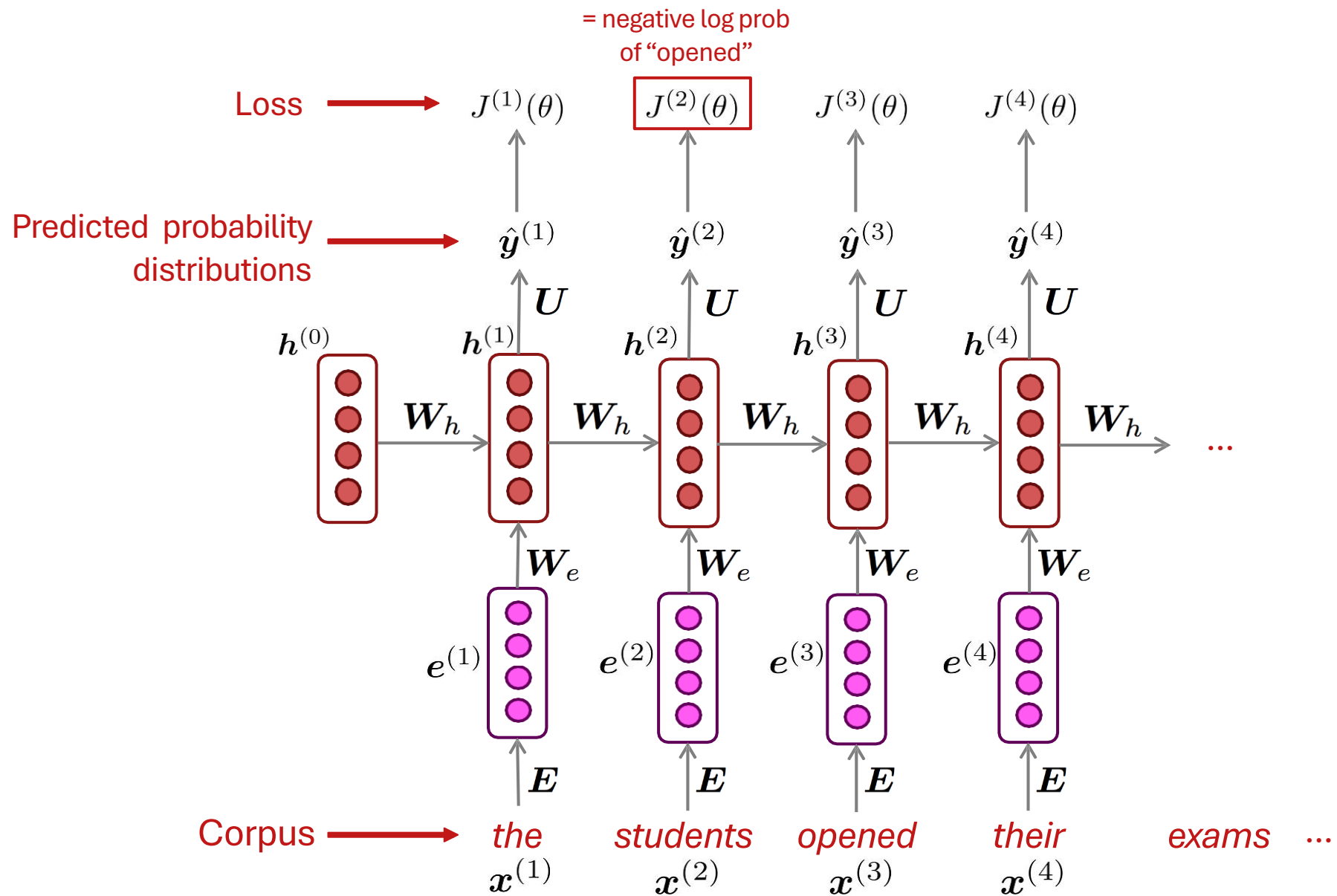
$$J^{(t)}(\theta) = CE(\mathbf{y}^{(t)}, \hat{\mathbf{y}}^{(t)}) = - \sum_{w \in V} \mathbf{y}_w^{(t)} \log \hat{\mathbf{y}}_w^{(t)} = - \log \hat{\mathbf{y}}_{x_{t+1}}^{(t)}$$

- Average this to get **overall loss** for entire training set:

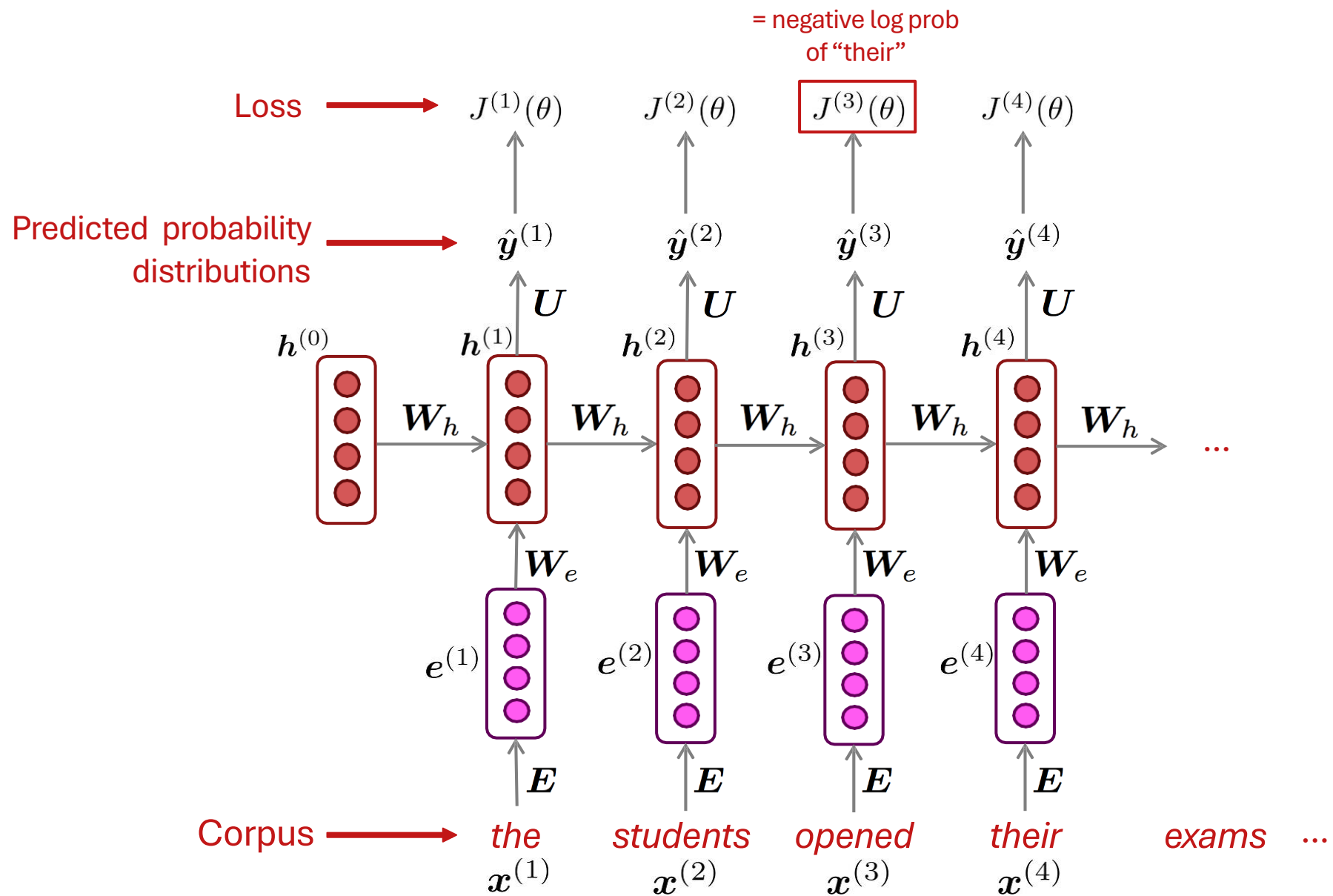
$$J(\theta) = \frac{1}{T} \sum_{t=1}^T J^{(t)}(\theta) = \frac{1}{T} \sum_{t=1}^T - \log \hat{\mathbf{y}}_{x_{t+1}}^{(t)}$$

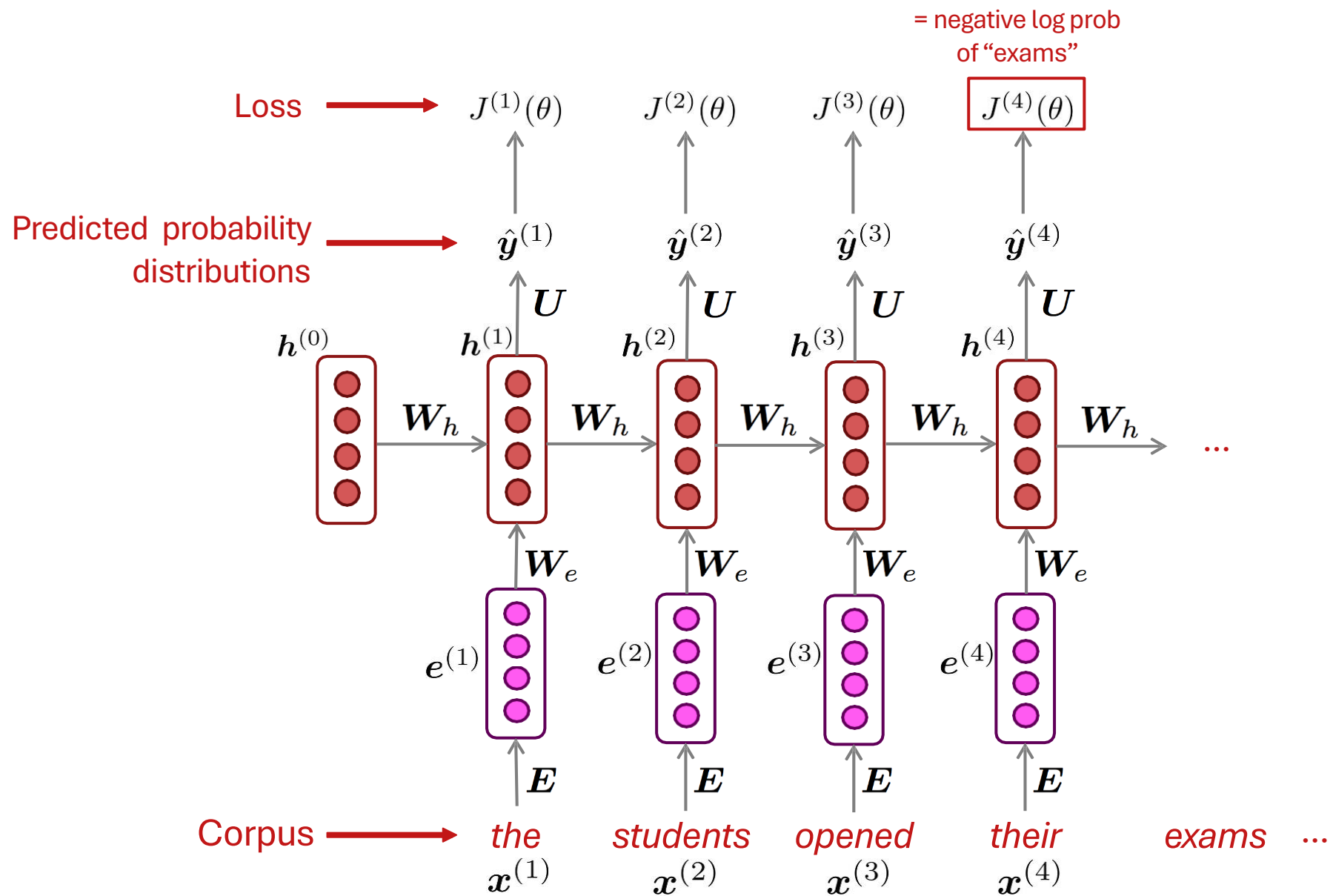




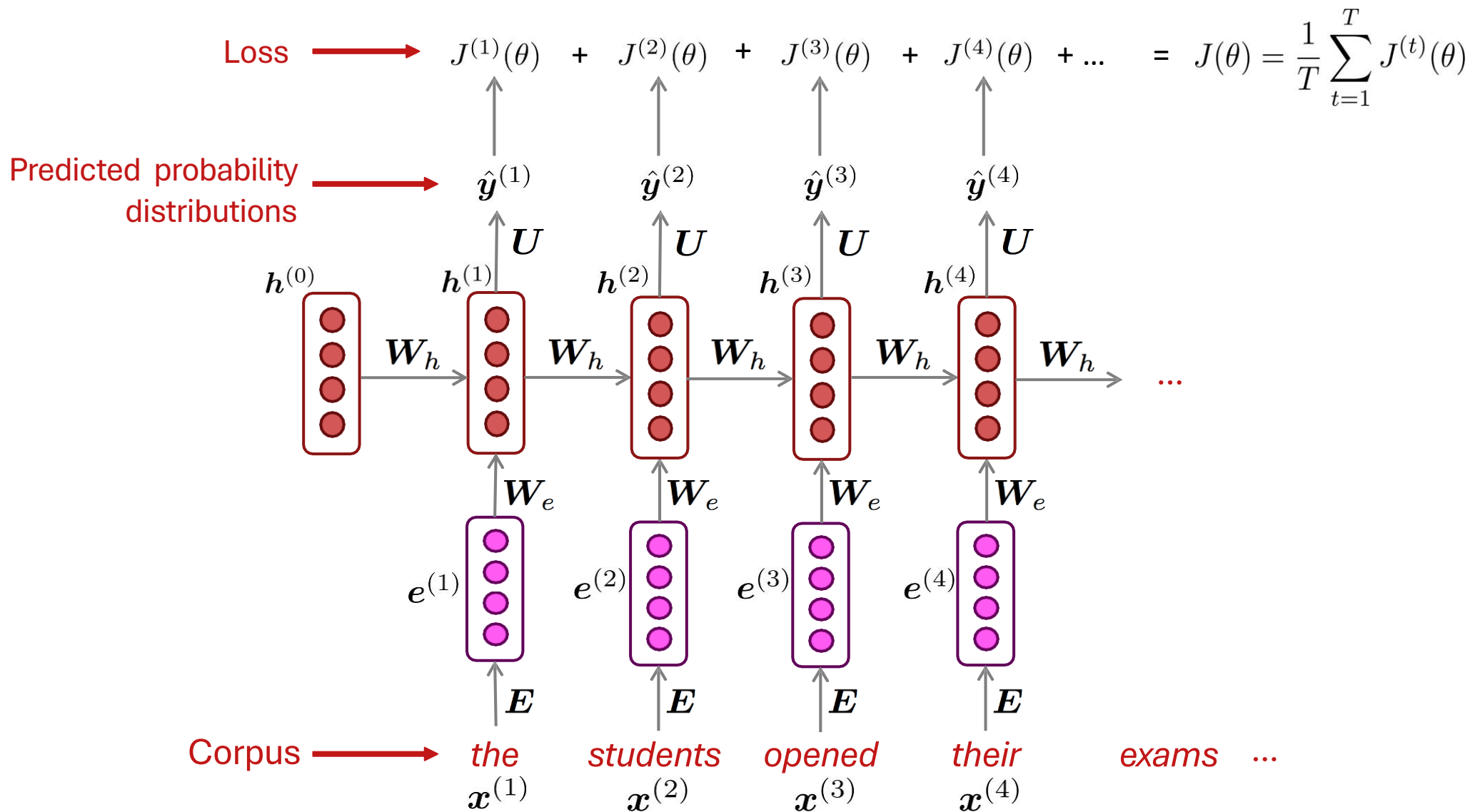








“Teacher forcing”



# Training a RNN Language Model

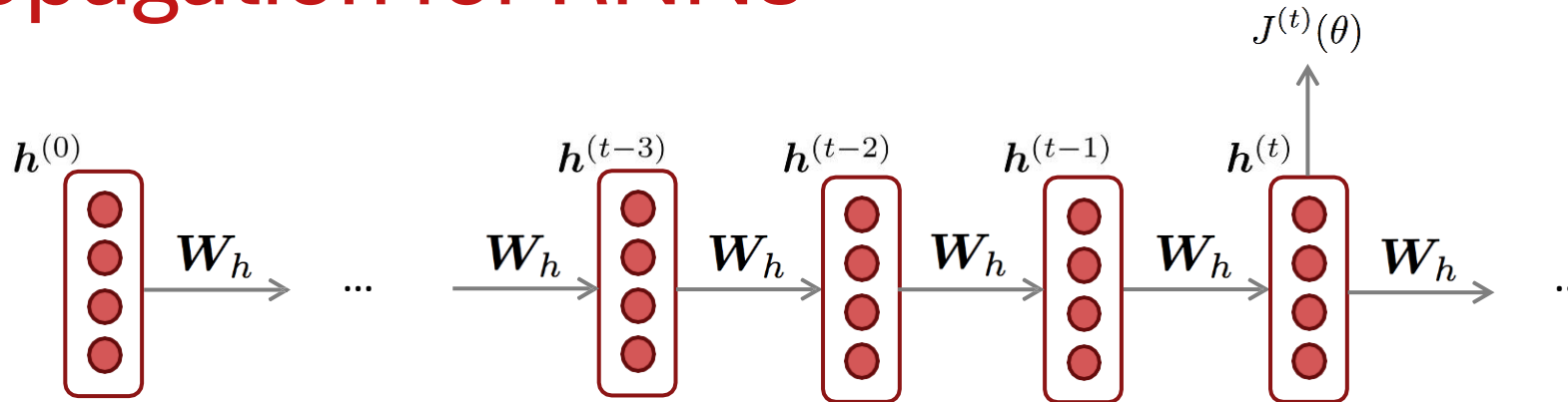
- However: Computing loss and gradients across **entire corpus**  $x^{(1)}, x^{(2)}, \dots, x^{(T)}$  at once is **too expensive** (memory-wise)!

$$J(\theta) = \frac{1}{T} \sum_{t=1}^T J^{(t)}(\theta)$$

- In practice, consider  $x^{(1)}, x^{(2)}, \dots, x^{(T)}$  as a **sentence** (or a **document**)
- Recall: **Stochastic Gradient Descent** allows us to compute loss and gradients for small chunk of data, and update.
- Compute loss  $J(\theta)$  for a sentence (actually, a batch of sentences), compute gradients and update weights. Repeat on a new batch of sentences.



# Backpropagation for RNNs



**Question:** What's the derivative of  $J^{(t)}(\theta)$  w.r.t the **repeated** weight matrix  $W_h$  ?

**Answer:** 
$$\frac{\partial J^{(t)}}{\partial W_h} = \sum_{i=1}^t \frac{\partial J^{(t)}}{\partial W_h} \Big|_{(i)}$$

“The gradient w.r.t. a repeated weight is the sum of the gradient w.r.t. each time it appears”

Why?

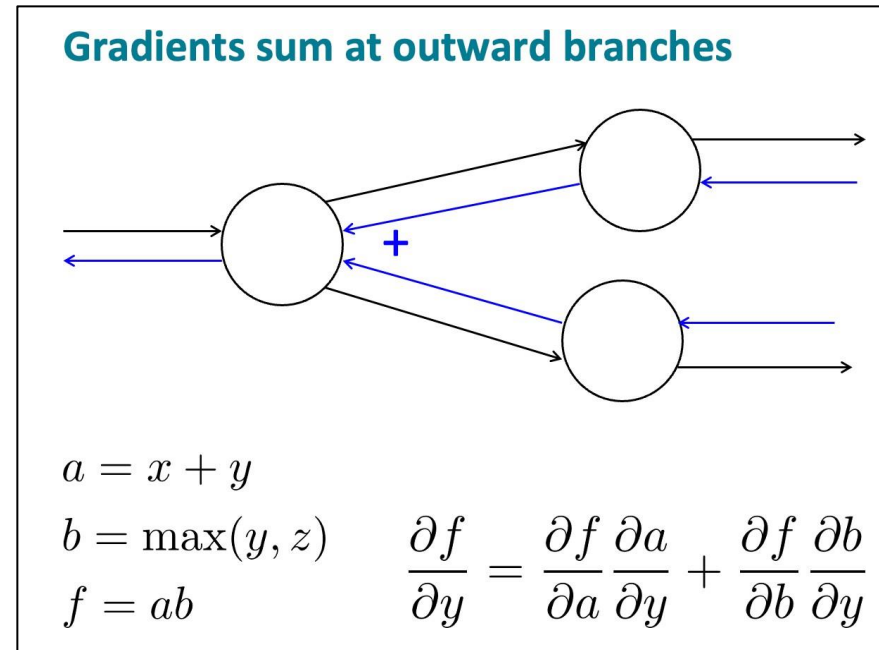
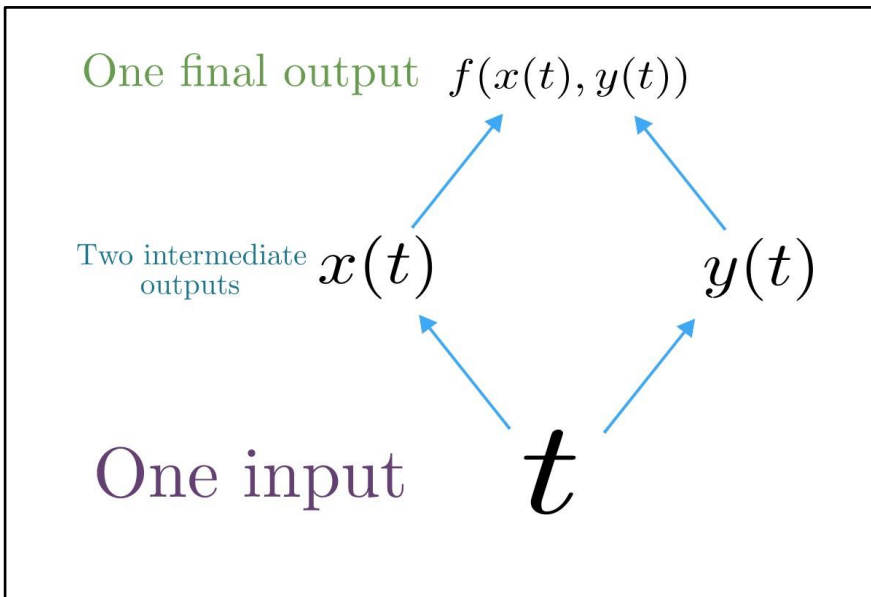


# Multivariable Chain Rule

- Given a multivariable function  $f(x, y)$ , and two single variable functions  $x(t)$  and  $y(t)$ , here's what the multivariable chain rule says:

$$\underbrace{\frac{d}{dt} f(x(t), y(t))}_{\text{Derivative of composition function}} = \frac{\partial f}{\partial x} \frac{dx}{dt} + \frac{\partial f}{\partial y} \frac{dy}{dt}$$

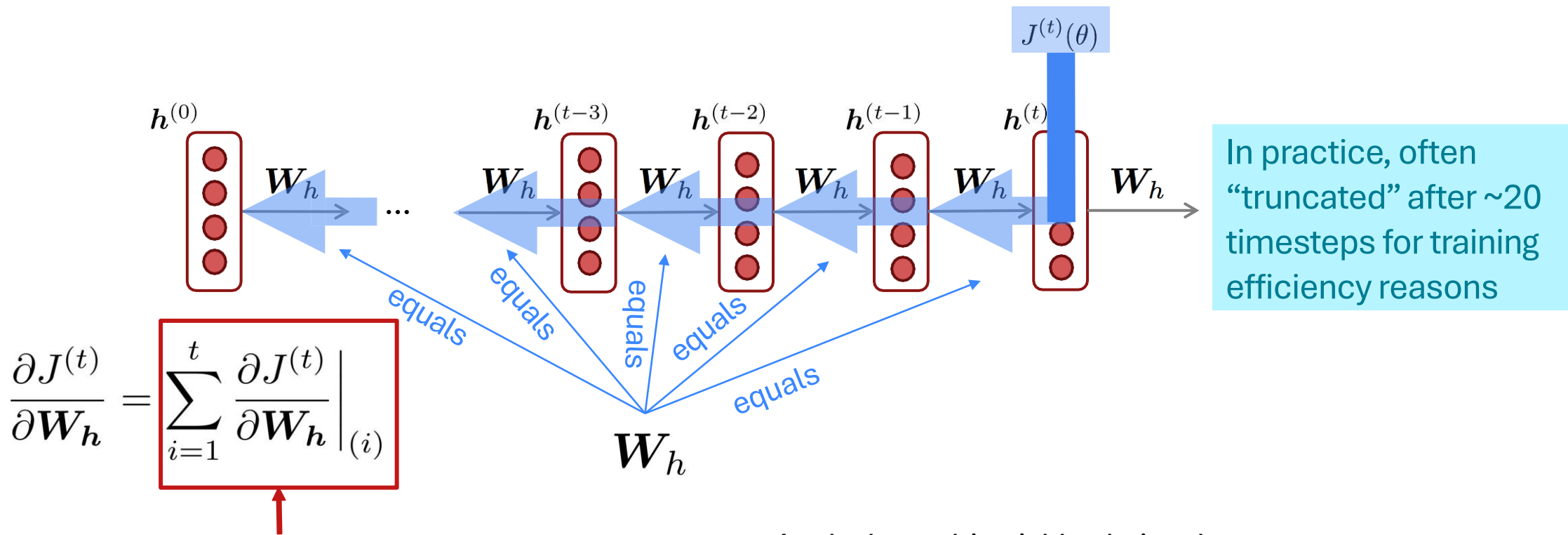
Derivative of composition function



Source: <https://www.khanacademy.org/math/multivariable-calculus/multivariable-derivatives/differentiating-vector-valued-functions/a/multivariable-chain-rule-simple-version>



# Training The Parameters of RNNs: Backpropagation for RNNs



**Question:** How do we calculate this?

**Answer:** Backpropagate over timesteps  $i = t, \dots, 0$ , summing gradients as you go. This algorithm is called “**backpropagation through time**”

[Werbos, P.G., 1988, *Neural Networks 1*, and others]

Apply the multivariable chain rule:

= 1

$$\frac{\partial J^{(t)}}{\partial \mathbf{W}_h} = \sum_{i=1}^t \frac{\partial J^{(t)}}{\partial \mathbf{W}_h} \Big|_{(i)} \frac{\partial \mathbf{W}_h \Big|_{(i)}}{\partial \mathbf{W}_h}$$

$$= \sum_{i=1}^t \frac{\partial J^{(t)}}{\partial \mathbf{W}_h} \Big|_{(i)}$$