

# Instruction Tuning

Large Language Models: Introduction and Recent Advances

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# How to make ChatGPT ?

- Pre-Training

- This is the point where most of the reasoning power is infused in the model.
- Data – Billions of tokens of unstructured text from the internet

- Instruction Tuning

- Trains models to follow natural language instructions
- Data – Several thousand (Task/Instruction, Output) examples

- Reinforcement Learning from Human Feedback

- Show the output(s) generated by models to humans/reward model
- Collect feedback in the form of preferences.
- Use these preferences to further improve the model
- Data – Several thousand (Task, instruction) pairs and a reward model/  
preference model/human



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- Training loss
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- Instruction Tuned Models are Quick Learners
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# Why Do We Need Instruction Training?



To bridge the gap between

Observed behavior: Next word prediction

Desired Behavior: Instruction Following



To allow behavior modification during inference

Meta-instruction: Answer all questions as William Shakespeare would.



Catch

The instruction-tuning data should be diverse and have high coverage

Content Credit: Instruction Tuning for Large Language Models: A Survey

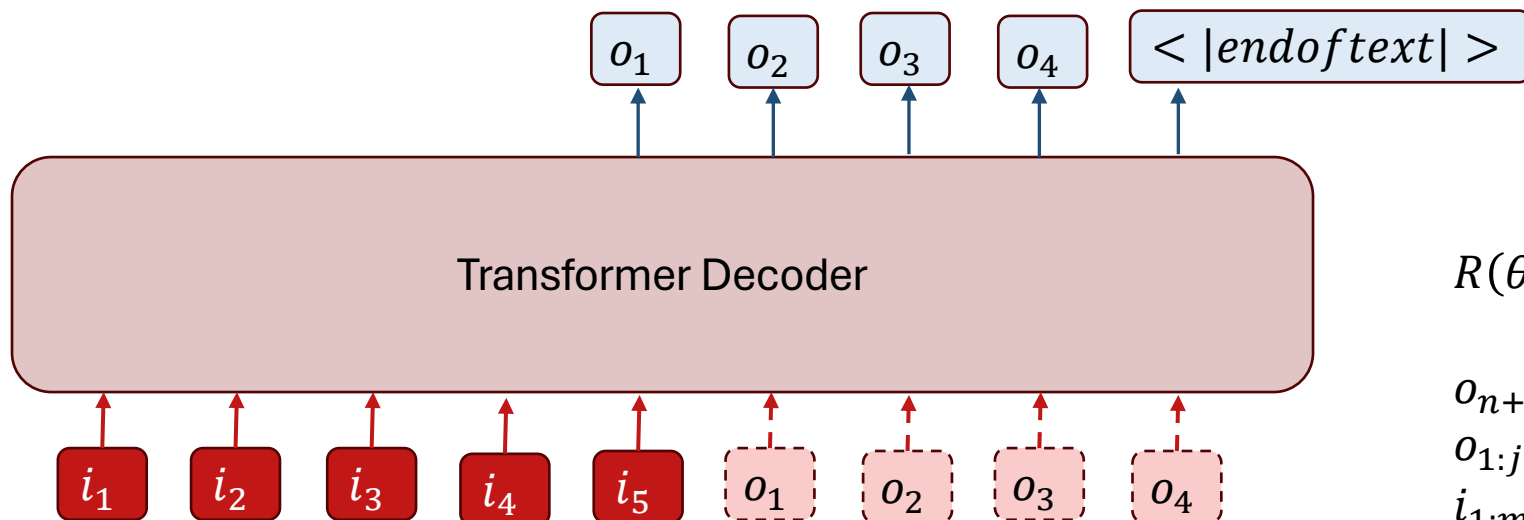


# Training Loss



# How to train? (Decoder-only models)

- Given (instruction, output) pairs
  - Tokenized *instruction* =  $(i_1, \dots, i_m)$  *output* =  $(o_1, \dots, o_n)$



$$R(\theta) = \sum_{j=0}^n \log p_{\theta}(o_{j+1} | o_{1:j}, i_{1:m})$$

$$o_{n+1} = \langle |endoftext| \rangle$$

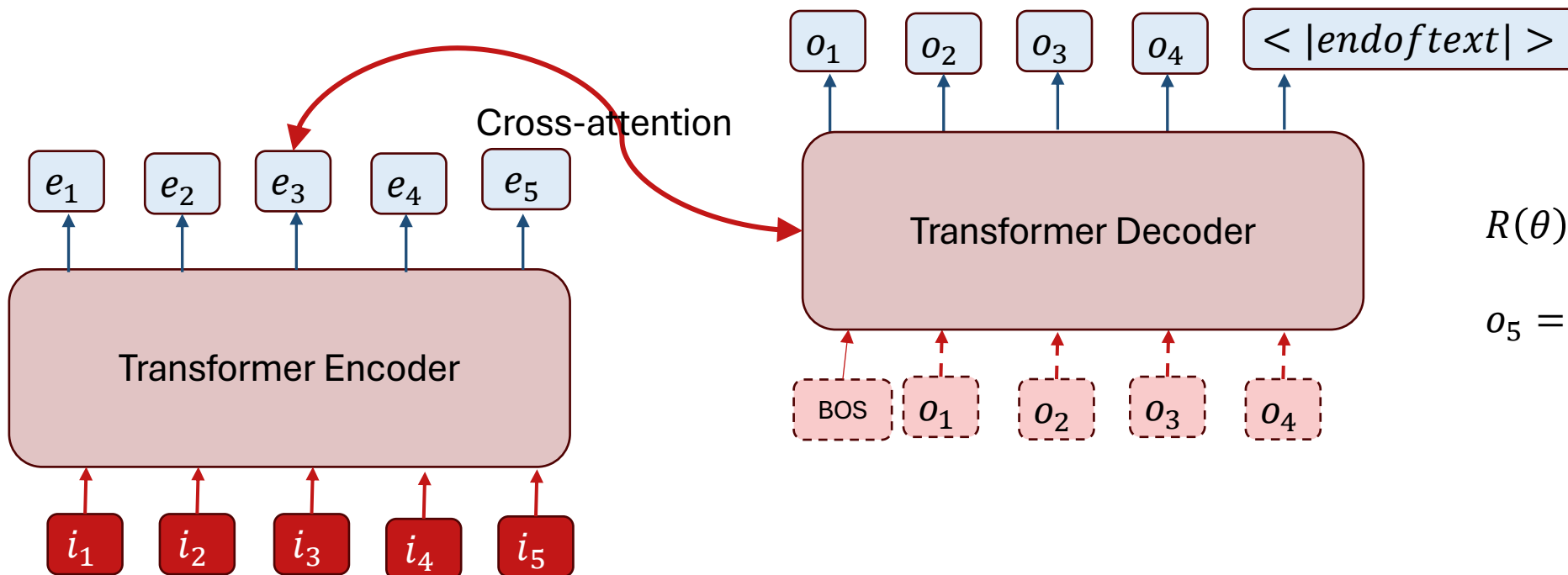
$$o_{1:j} = o_1, \dots, o_j$$

$$i_{1:m} = i_1, \dots, i_m$$



# How to train? (Encoder-Decoder Models)

- Given (instruction, output) pairs
  - Tokenized *instruction* =  $(i_1, \dots, i_m)$  *output* =  $(o_1, \dots, o_n)$



$$R(\theta) = \sum_{j=0}^n \log p_{\theta}(o_{j+1} | o_{1:j}, i_{1:m})$$
$$o_5 = \langle |endof\text{text}| \rangle$$





# Getting the Data



# Where does the data come from?

- Human-crafted
  - Flan-2021
    - Transforms NLP benchmarks into natural language input-output pairs.

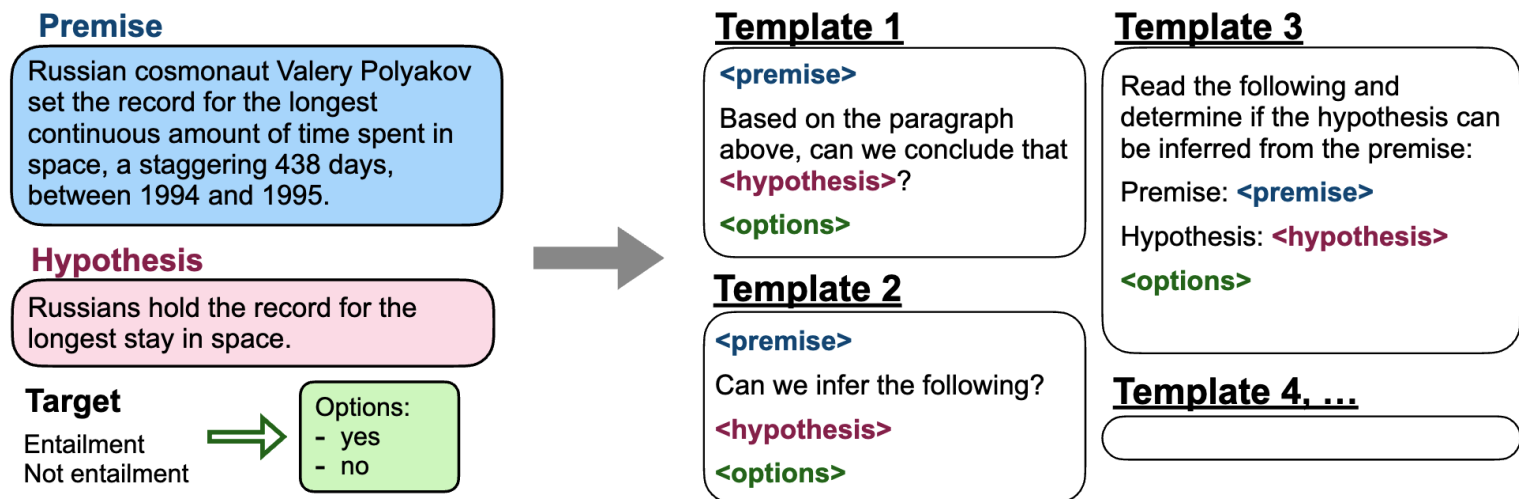


Figure 4: Multiple instruction templates describing a natural language inference task.

Credit: The Flan Collection: Designing Data and Methods for Effective Instruction Tuning



# SuperNatural Instructions

## Task Instruction

### Definition

“... Given an utterance and recent dialogue context containing past 3 utterances (wherever available), output ‘Yes’ if the utterance contains the small-talk strategy, otherwise output ‘No’. Small-talk is a cooperative negotiation strategy. It is used for discussing topics apart from the negotiation, to build a rapport with the opponent.”

### Positive Examples

- **Input:** “Context: ... ‘That’s fantastic, I’m glad we came to something we both agree with.’ Utterance: ‘Me too. I hope you have a wonderful camping trip.’”
- **Output:** “Yes”
- **Explanation:** “The participant engages in small talk when wishing their opponent to have a wonderful trip.”

### Negative Examples

- **Input:** “Context: ... ‘Sounds good, I need food the most, what is your most needed item?!’ Utterance: ‘My item is food too.’”
- **Output:** “Yes”
- **Explanation:** “The utterance only takes the negotiation forward and there is no side talk. Hence, the correct answer is ‘No’.”

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Tasks contributed by NLP practitioners

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Creative modification of existing NLP tasks

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Synthetic tasks that can be communicated in few sentences

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Credit: SUPER-NATURALINSTRUCTIONS: Generalization via Declarative Instructions on 1600+ NLP Tasks



# Synthetic Instruction-Tuning Data

Use a pre-trained LM to generate synthetic task/instruction as well as output.

- Cheap and easy to obtain
- Often better quality than human-crafted data.

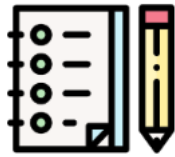
We will look at 4 popular approaches for synthetic data generation for instruction tuning:

- Self-Instruct
- Evol-Instruct
- Orca
- Instruction Back-translation



# Self-Instruct

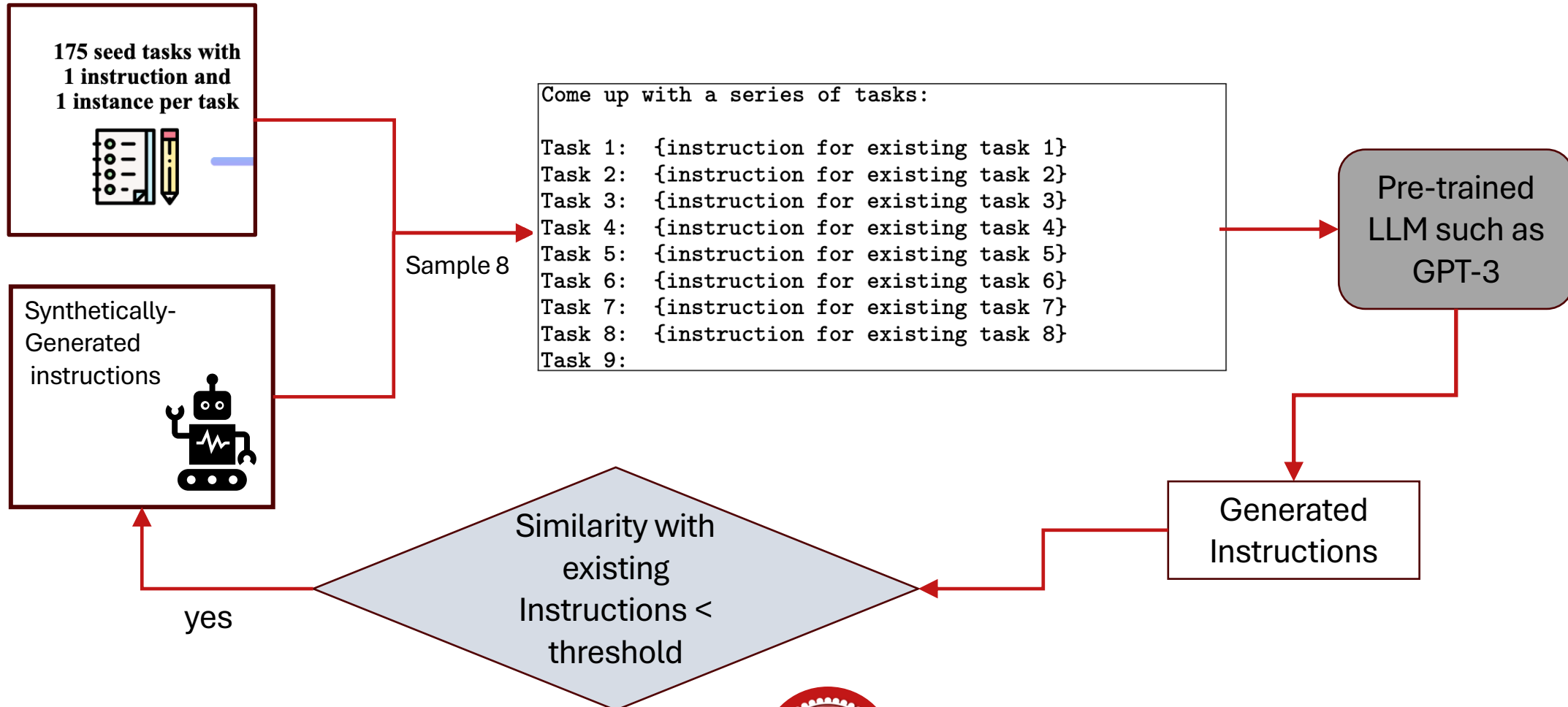
- Given: **175 seed tasks with 1 instruction and 1 instance per task**



- Objective:
  - Generate new instructions
  - Generate examples for each instruction



# The Self-Instruct Process – Instruction Generation



# The Self-Instruct Process – Classification Task Identification

**Can the following task be regarded as a classification task with finite output labels?**

Task: Given my personality and the job, tell me if I would be suitable.

Is it classification? Yes

Task: Give me an example of a time when you had to use your sense of humor.

Is it classification? No

- 
- 
- 

Task: {instruction for the target task}

Is it classification?



# The Self-Instruct Process – Instance Generation

- Given an instruction, generate instances that follow the instruction.
- In-context learning can be used to generate instances for an instruction
- Input-First

**Come up with examples for the following tasks. Try to generate multiple examples when possible. If the task doesn't require additional input, you can generate the output directly.**

Task: Which exercises are best for reducing belly fat at home?

Output:

- Lying Leg Raises
- Leg In And Out
- Plank
- Side Plank
- Sit-ups

Task: {Instruction for the target task}





# The Self-Instruct Process – Instance Generation - II

Output First

**Given the classification task definition and the class labels, generate an input that corresponds to each of the class labels. If the task doesn't require input, just generate the correct class label.**

Task: Classify the sentiment of the sentence into positive, negative, or mixed.

Class label: mixed

Sentence: I enjoy the flavor of the restaurant but their service is too slow.

Class label: Positive

Sentence: I had a great day today. The weather was beautiful and I spent time with friends.

Class label: Negative

Task: {instruction for the target task}



# Self-Instruct: The complete pipeline

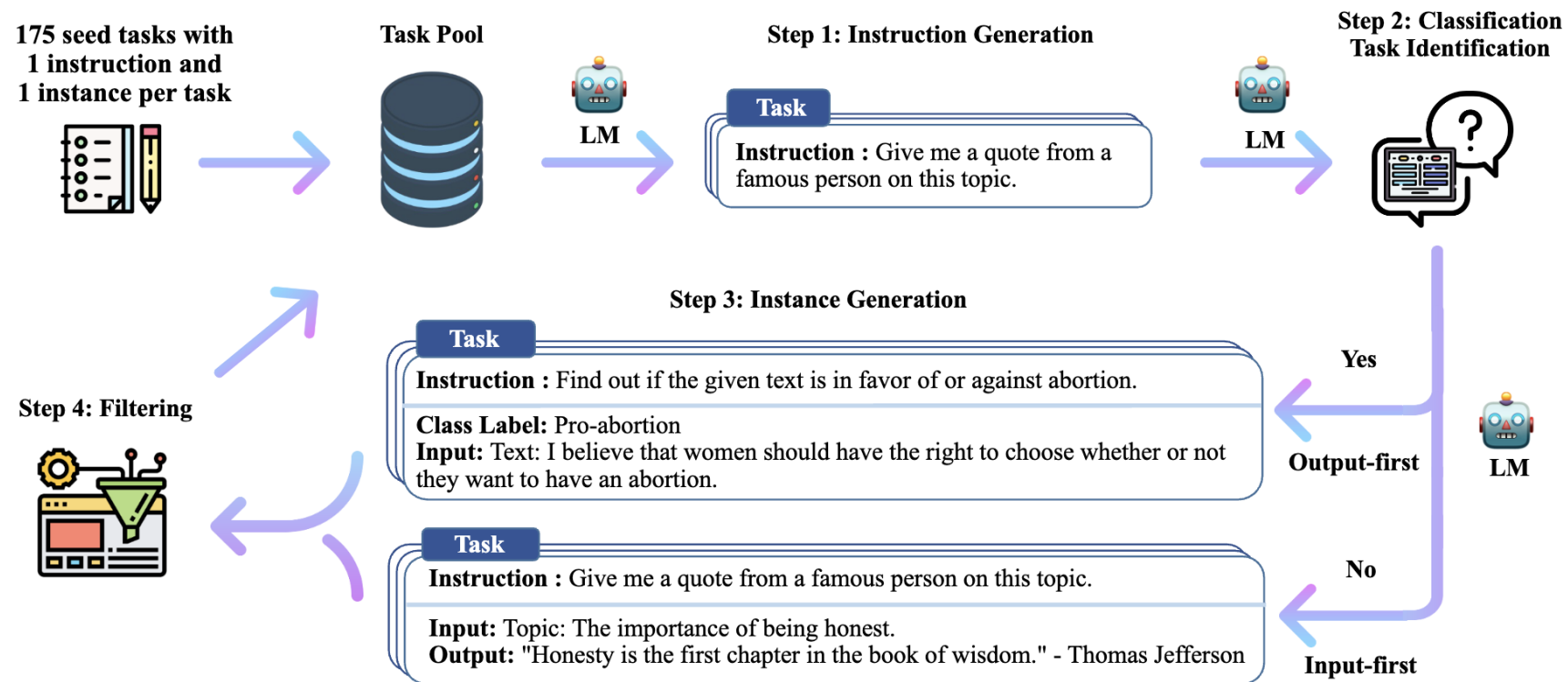


Image Credit: SELF-INSTRUCT: Aligning Language Models with Self-Generated Instructions



# Evaluation results on unseen tasks from SUPERNI

Model	# Params	ROUGE-L
GPT3	175B	6.8
InstructGPT001	175B	40.8
GPT3 + T0 Training	175B	37.9
GPT3 SELF-INST	175B	39.9
GPT3 + SUPERNI Training	175B	49.5
GPT3 SELF-INST + SUPERNI Training	175B	51.6



# Human evaluation on 252 instructions

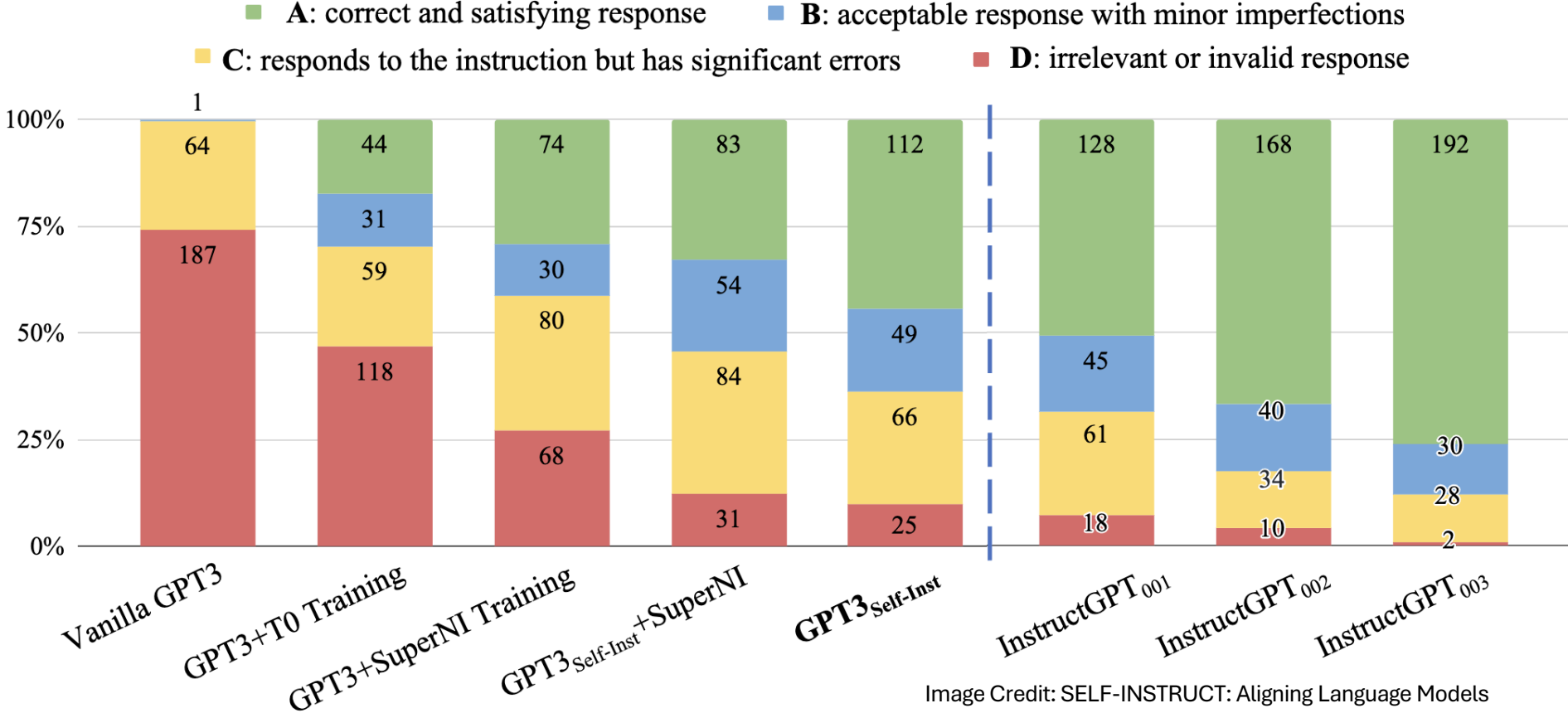


Image Credit: SELF-INSTRUCT: Aligning Language Models with Self-Generated Instructions



# Evol-Instruct



## Motivation:

Most of the instruction datasets contain only simple instructions.

LLMs can be used to make instructions more complex.



## Instruction Evolver

An LLM that uses prompts to evolve instructions.



## Instruction Eliminator

Checks whether the evolution fails.

- Non-informative responses



# Instruction Evolver – In-Depth Evolution

- Add constraints
- Deepening
- Concretizing
- Increase Reasoning

I want you act as a Prompt Rewriter.  
Your objective is to rewrite a given prompt into a more complex version to make those famous AI systems (e.g., ChatGPT and GPT4) a bit harder to handle. But the rewritten prompt must be reasonable and must be understood and responded by humans.

...

You **SHOULD** complicate the given prompt using the following method: Please add one more constraints/requirements into **#Given Prompt#**

**#Given Prompt#:**

<Here is instruction.>

**#Rewritten Prompt#:**



# Instruction Evolver – In-Breadth Evolution

- Enhance
  - Topic Coverage
  - Skill Coverage

I want you act as a Prompt Creator. Your goal is to draw inspiration from the #Given Prompt# to create a **brand new** prompt. This new prompt should belong to the same domain as the #Given Prompt# but be even more rare. The LENGTH and difficulty level of the #Created Prompt# should be similar to that of the #Given Prompt#.

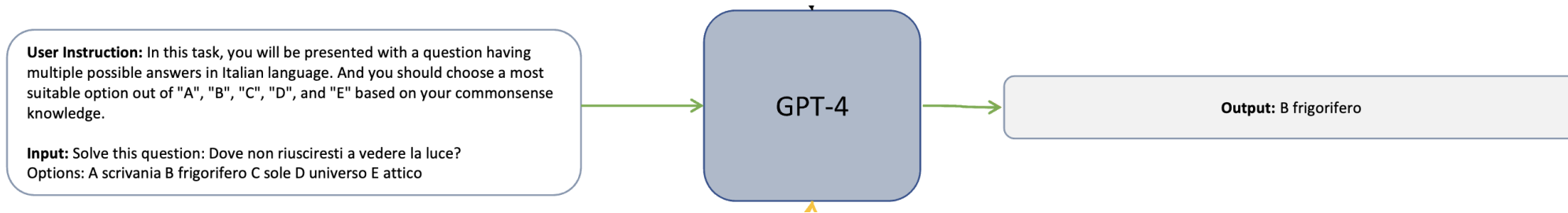
The #Created Prompt# must be reasonable and must be understood and responded by humans. ‘#Given Prompt#’, ‘#Created Prompt#’, ‘given prompt’ and ‘created prompt’ are not allowed to appear in #Created Prompt#.

#Given Prompt#:  
<Here is instruction.>  
#Created Prompt#:

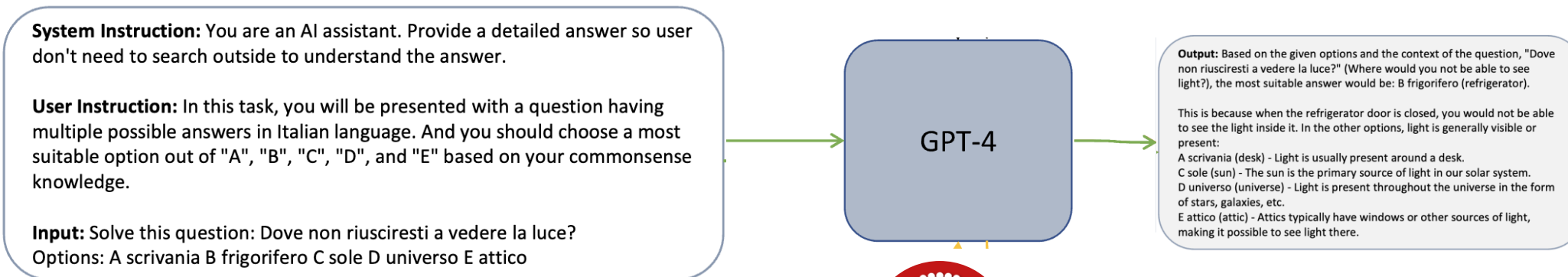


# Orca

- How can we improve the information content in the response?

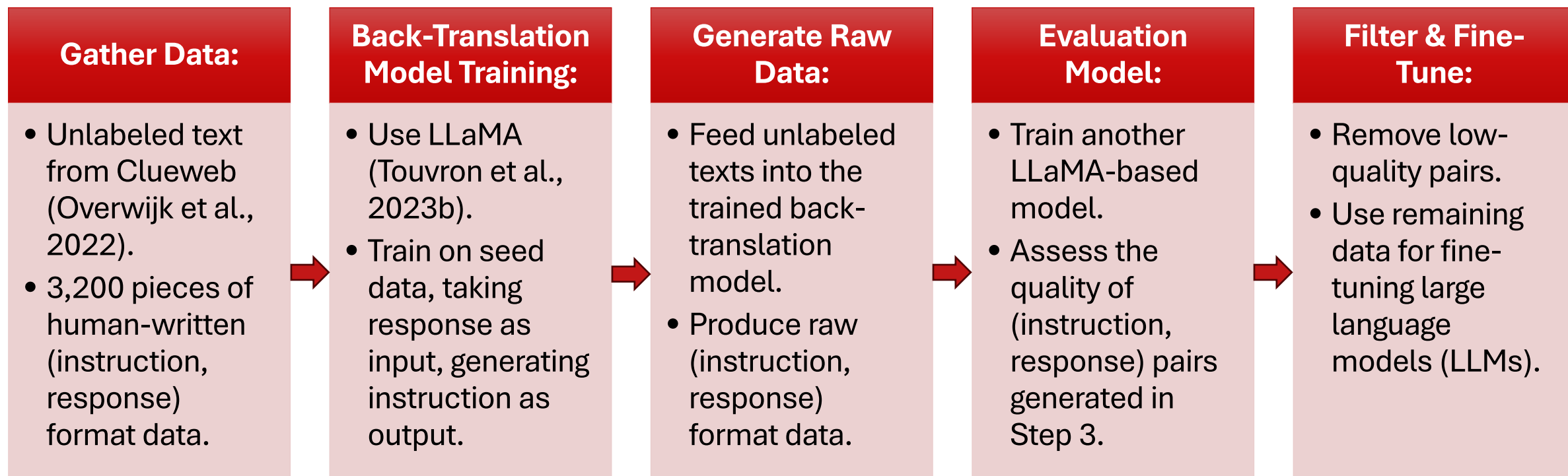


- Add a system instruction from a diverse instruction set including chain-of-thought, reasoning steps, explain like I'm five, being helpful and informative, etc.





# Instruction Back-Translation

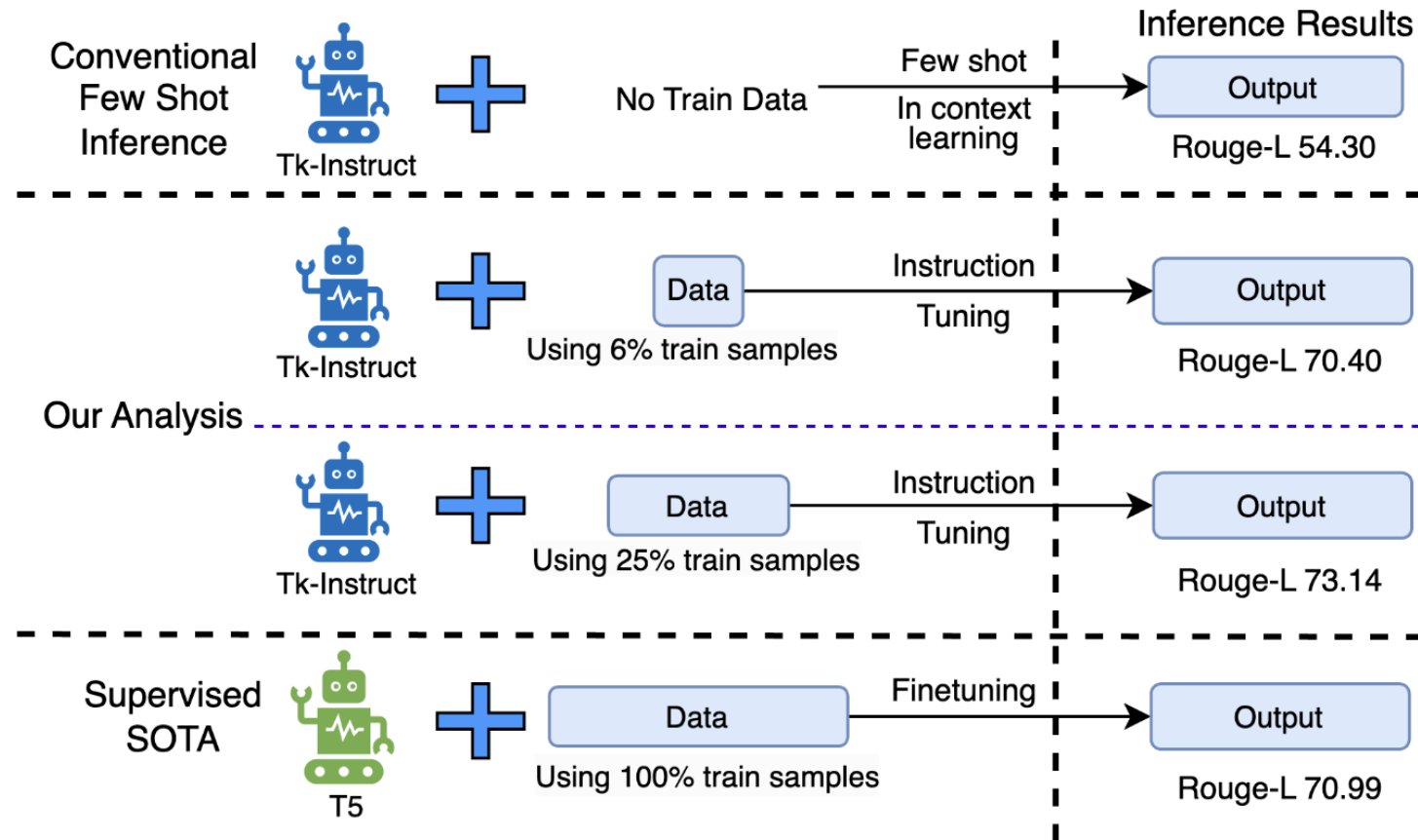


# Popular Instruction-Tuned Models on Known Datasets

- Flan-T5 (11B)
  - Fine-tuned T5-11B on **Flan** dataset
- Alpaca (7B)
  - Finetuned LLaMa-7B on synthetic dataset generated from text-davinci-003 generated using **Self-Instruct**
- WizardLM (7B)
  - Finetuned LLaMa-7B on an instruction dataset generated from ChatGPT using **Evol-Instruct** .
- Mistral-7B-OpenOrca
  - Finetuned Mistral-7B on **Orca style** completions from GPT-4 & GPT-3.5



# Instruction Tuned Models are Quick Learners



# Superficial Alignment Hypothesis

- A model's knowledge and capabilities are learnt almost entirely during pretraining, while alignment teaches it which sub-distribution of formats should be used when interacting with users
- Corollary: A small number of examples should be sufficient for instruction-tuning.

Source	#Examples	Avg Input Len.	Avg Output Len.
<b>Training</b>			
Stack Exchange (STEM)	200	117	523
Stack Exchange (Other)	200	119	530
wikiHow	200	12	1,811
Pushshift r/WritingPrompts	150	34	274
Natural Instructions	50	236	92
Paper Authors (Group A)	200	40	334

Credit: LIMA: Less Is More for Alignment



# LIMA (Less is More For Alignment)

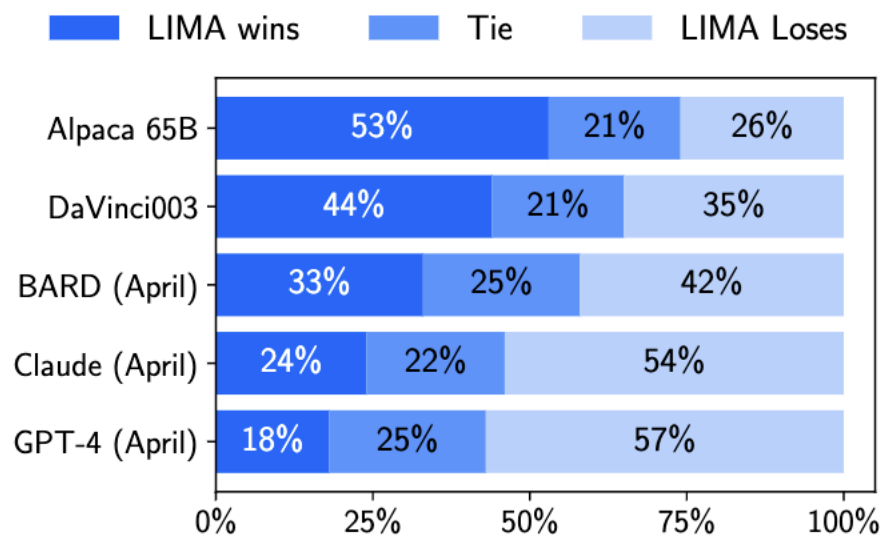


Figure 1: Human preference evaluation, comparing LIMA to 5 different baselines across 300 test prompts.

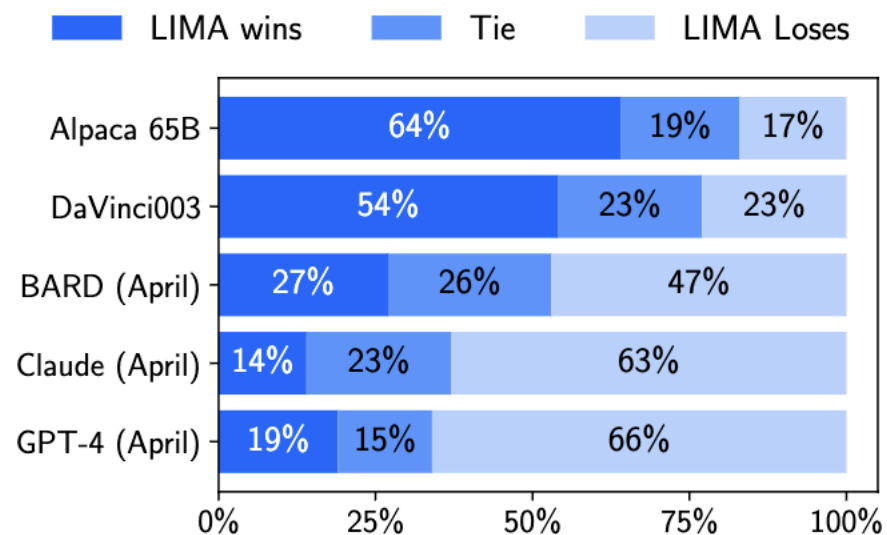


Figure 2: Preference evaluation using GPT-4 as the annotator, given the same instructions provided to humans.



# Main Takeaways



Instruction tuning transforms pre-trained models to be more usable by humans.



Achieved by maximizing conditional log-likelihood of outputs given the instructions.



Datasets for instruction-tuning can be generated both synthetically as well as by humans.



Instruction-tuned models can quickly learn a task with limited data.

